Maximal Operators and Local Mean Value Theorems for Weyl Sums

Igor Shparlinski

University of New South Wales Sydney

Joint work with: Roger Baker, Julia Brandes and Changhao Chen

Set-up

Given a vector $\mathbf{u} = (u_1, \ldots, u_d) \in \mathsf{T}_d$, where

$$\mathsf{T}_d = (\mathbb{R}/\mathbb{Z})^d = d$$
-dimensional unit torus,

we define our main object of study:

Weyl Sums:
$$S_d(\mathbf{u}; N) = \sum_{1 \le n \le N} \mathbf{e}(u_1 n + \ldots + u_d n^d),$$

where $\mathbf{e}(x) = \exp(2\pi i x)$, named after *Hermann Weyl*, who introduced, investigated and foresaw their great value for mathematics in 1916.

As concrete examples of their capabilities, *Hermann Weyl* established:

- in 1916: the *uniformity of distribution modulo one* of the fractional parts of values of real polynomials;
- in 1921: the *subconvexity* bound for the Riemann zeta-function, the first non-trivial result towards the Lindelöf hypothesis.

 $S_d(\mathbf{u}; N) = \sum_1^N \mathbf{e}(u_1 n + \ldots + u_d n^d) \quad \mathbf{u} = (\mathbf{x}|\mathbf{y}); \quad \mathsf{M}_k\left(S_d(\mathbf{x}, \mathbf{y}; N)\right) = \sup_{\mathbf{y}} |S_d(\mathbf{x}, \mathbf{y}; N)| \quad 2/36$

Weyl sums everywhere

Since then, lots of other applications have been found, including:

- bounds on the zero-free region of ζ(s) and thus bounds for the error term in the Prime Number Theorem;
- additive problems such as the Waring problem;
- bounds on very short character sums and thus on the *L*-functions with highly composite moduli;
- low-lying zeros of families of L-functions of elliptic curves;
- various problems from the uniformity of distribution theory and Diophantine approximations;
- Large sieve inequalities for polynomial moduli;
- ŝ

Later we will also mention some surprising applications to PDE's: *M. B. Erdogan & G. Shakan* (**2019**).

 $S_d(\mathbf{u}; N) = \sum_1^N \mathbf{e}(u_1 n + \ldots + u_d n^d) \quad \mathbf{u} = (\mathbf{x} | \mathbf{y}); \qquad M_k \left(S_d(\mathbf{x}, \mathbf{y}; N) \right) = \quad \sup_{\mathbf{y}} |S_d(\mathbf{x}, \mathbf{y}; N)| \qquad 3/36$

What do we know about Weyl sums?

Average values: Trivially, by the Parseval identity,

$$\int_{\mathsf{T}_d} |S_d(\mathbf{u}; N)|^2 \mathrm{d}\mathbf{u} = N.$$

Bounds on higher moments

$$J_{d,s}(N) = \int_{\mathsf{T}_d} |S_d(\mathbf{u}; N)|^{2s} \mathrm{d}\mathbf{u}, \qquad s = 2, 3, \dots,$$

are highly nontrivial if s > d, for $s \le d$ the reasonably elementary method of *Mordell* (1932) works. They are known under the collective name:

Vinogradov's Mean Value Theorem{s} (VMVT)

I. M. Vinogradov (1935):

(i) obtained the first nontrivial bounds on $J_{d,s}(N)$ with a "right saving" (but for larger than really necessary values of s);

(ii) linked such average bounds to **pointwise** bounds on $|S_d(\mathbf{u}; N)|$.

 $S_d(\mathbf{u}; N) = \sum_1^N \mathbf{e}(u_1 n + \ldots + u_d n^d) \quad \mathbf{u} = (\mathbf{x} | \mathbf{y}); \qquad \mathsf{M}_k \left(S_d(\mathbf{x}, \mathbf{y}; N) \right) = \quad \sup_{\mathbf{y}} |S_d(\mathbf{x}, \mathbf{y}; N)| \qquad 4/36$

After works of *I. M. Vinogradov; Yu. V. Linnik; N. M. Korobov; A. A. Karatsuba; K. Ford; R. C. Vaughan; T. Wooley; ...,* 85 years and several dozens of papers later, we have the following:

Optimal VMVT — Bourgain, Demeter & Guth; Wooley (2016–2019) For s = 2, 3, ..., we have $N^{s} + N^{2s-d(d+1)/2} \ll J_{d,s}(N) \ll N^{s+o(1)} + N^{2s-d(d+1)/2}.$

This is due to

- *T. Wooley* (2016) for *d* = 3;
- J. Bourgain, C. Demeter & L. Guth (2016) for $d \ge 4$;
- *T. Wooley* (2019) for more general exponential sums with $\mathbf{e}(u_1\varphi_1(n) + \ldots + u_d\varphi_d(n)), \varphi_j \in \mathbb{Z}[T], j = 1, \ldots, d.$

Remark:

The upper bound is equivalent to the estimate

$$J_{d,d(d+1)/2}(N) \le N^{d(d+1)/2 + o(1)}$$

5/36

for the critical value s = d(d+1)/2.

 $S_d(\mathbf{u}; N) = \sum_1^N \mathbf{e}(u_1 n + \ldots + u_d n^d) \quad \mathbf{u} = (\mathbf{x}|\mathbf{y}); \qquad \mathsf{M}_k\left(S_d(\mathbf{x}, \mathbf{y}; N)\right) = \sup_{\mathbf{y}} |S_d(\mathbf{x}, \mathbf{y}; N)|$

Pointwise bounds: Here our knowledge is quite scarce.

Vinogradov's Method + Optimal VMVT

Let $\mathbf{u} = (u_1, \dots, u_d) \in \mathsf{T}_d$ be such that for some ν with $2 \le \nu \le d$ and some integers a and q with $\gcd(a, q) = 1$ we have

$$|u_{\nu} - a/q| \le 1/q^2.$$

Then

$$|S_d(\mathbf{u};N)| \le N^{1+o(1)} \left(q^{-1} + N^{-1} + qN^{-\nu}\right)^{1/d(d-1)}$$

Nowadays we do not have any plausible approach to do better, eg, replace $1/d(d-1) \rightarrow 1/d$ and drop N^{-1} , as expected.

Remark:

It is obvious that any bound of this kind must depend on Diophantine properties of the non-linear coefficients u_2, \ldots, u_d .

$$S_d(\mathbf{u}; N) = \sum_1^N \mathbf{e}(u_1 n + \ldots + u_d n^d) \quad \mathbf{u} = (\mathbf{x}|\mathbf{y}); \qquad M_k \left(S_d(\mathbf{x}, \mathbf{y}; N)\right) = \quad \sup_{\mathbf{y}} |S_d(\mathbf{x}, \mathbf{y}; N)| \qquad \qquad 6/36$$

What is next?

Recall that for the Weyl sums:

- We have a complete knowledge of their average values.
- We *know something but overall very little* about their **pointwise** behaviour.

Question:

Can we "interpolate" between these two types of results?

This question leads us to studying some very well-known notions of Functional Analysis:

Maximal Operators and Restriction Bounds

for the Weyl sums $S_d(\mathbf{u}; N)$ (as functions of \mathbf{u}).

 $S_d(\mathbf{u}; N) = \sum_1^N \mathbf{e}(u_1 n + \ldots + u_d n^d) \quad \mathbf{u} = (\mathbf{x}|\mathbf{y}); \qquad M_k \left(S_d(\mathbf{x}, \mathbf{y}; N)\right) = \quad \sup_{\mathbf{y}} |S_d(\mathbf{x}, \mathbf{y}; N)| \qquad 7/36$

Recall:

Let $\mathbf{u} = (u_1, \dots, u_d) \in \mathsf{T}_d$ be such that for some ν with $2 \le \nu \le d$ and some positive integers a and q with $\gcd(a, q) = 1$ we have $|u_{\nu} - a/q| \le 1/q^2$. Then $|S_d(\mathbf{u}; N)| \le N^{1+o(1)} (q^{-1} + N^{-1} + qN^{-\nu})^{1/d(d-1)}$.

Observation: The bound depends on approximations to only one of the non-linear coefficients u_2, \ldots, u_d , say, u_d and for <u>a.a.</u> $u_d \in [0, 1]$ we can choose $q = N^{1+o(1)}$ in the above.

Hence, we immediate derive

For <u>a.a.</u> $u_d \in [0,1]$ and <u>all</u> $(u_1, \ldots, u_{d-1}) \in \mathsf{T}_{d-1}$, we have $|S_d(\mathbf{u}; N)| \le N^{1-1/d(d-1)+o(1)}, \quad \text{ as } N \to \infty.$

 $S_d(\mathbf{u}; N) = \sum_1^N \mathbf{e}(u_1 n + \ldots + u_d n^d) \quad \mathbf{u} = (\mathbf{x}|\mathbf{y}); \qquad M_k \left(S_d(\mathbf{x}, \mathbf{y}; N)\right) = \quad \sup_{\mathbf{y}} |S_d(\mathbf{x}, \mathbf{y}; N)| \qquad 8/36$

 $\begin{array}{l} \text{For } \underline{\textbf{a.a.}} \ u_d \in [0,1] \ \text{and} \ \underline{\textbf{all}} \ (u_1,\ldots,u_{d-1}) \in \mathsf{T}_{d-1} \text{, we have} \\ \\ |S_d(\mathbf{u};N)| \leq N^{1-1/d(d-1)+o(1)}, \qquad \text{as } N \to \infty. \end{array}$

Question:

Can we have stronger and/or more general statements?

Prototype Theorem

For <u>a.a.</u> components of $\mathbf{u} \in \mathsf{T}_d$ on prescribed k positions the following holds: For <u>all</u> components on the remaining d - k positions, for <u>all</u> $N \in \mathbb{N}$, we have $|S_d(\mathbf{u}; N)| \leq XXX$ (whatever we can prove for XXX).

This has been studied, with a chain of consecutive improvements, by:

- L. Flaminio & G. Forni (2014);
- *T. Wooley* (2016);
- C. Chen & I.S. (2019).

 $S_d(\mathbf{u}; N) = \sum_1^N \mathbf{e}(u_1 n + \ldots + u_d n^d) \quad \mathbf{u} = (\mathbf{x} | \mathbf{y}); \qquad M_k \left(S_d(\mathbf{x}, \mathbf{y}; N) \right) = \quad \sup_{\mathbf{y}} |S_d(\mathbf{x}, \mathbf{y}; N)| \qquad 9/36$

It is convenient to reformulate and generalise this question.

Given

• a vector $\varphi = (\varphi_1, \dots, \varphi_d) \in \mathbb{Z}[T]^d$ of d linearly independent with constants polynomials,

• a vector
$$\mathbf{u} = (u_1, \ldots, u_d) \in \mathsf{T}_d$$
,

we define

$$T_{\boldsymbol{\varphi}}(\mathbf{u};N) = \sum_{n=1}^{N} \mathbf{e} \left(u_1 \varphi_1(n) + \ldots + u_d \varphi_d(n) \right).$$

For $\varphi_i(T) = T^i$ these are Weyl sums $S_d(\mathbf{u}; N)$. Decompose $\mathbf{u} \in \mathsf{T}_d = \mathsf{T}_k \times \mathsf{T}_{d-k}$ as

$$\mathbf{u} = (\mathbf{x}|\mathbf{y}) \in \mathsf{T}_k \times \mathsf{T}_{d-k}$$

and write

$$T_{\varphi}(\mathbf{x},\mathbf{y};N) = T_{\varphi}(\mathbf{u};N).$$

We emphasise that φ is a **vector** rather than a set — the order matters!

 $S_d(\mathbf{u}; N) = \sum_1^N \mathbf{e}(u_1 n + \ldots + u_d n^d) \quad \mathbf{u} = (\mathbf{x}|\mathbf{y}); \quad \mathsf{M}_k\left(S_d(\mathbf{x}, \mathbf{y}; N)\right) = \sup_{\mathbf{y}} |S_d(\mathbf{x}, \mathbf{y}; N)|$

Maximal Operators on Weyl Sums

Following *L. Flaminio & G. Forni* (2014), *T. Wooley* (2016), we are interested in bounds on

$$T_{\varphi}(\mathbf{x}, \mathbf{y}; N)$$

which hold for

a.a.
$$\mathbf{x} \in \mathsf{T}_k$$
 and **all** $\mathbf{y} \in \mathsf{T}_{d-k}$.

Equivalently, we are interested in bounds on

Maximal Operators: $\sup_{\mathbf{y}\in\mathsf{T}_{d-k}}|T_{\boldsymbol{\varphi}}(\mathbf{x},\mathbf{y};N)|$

which hold for **<u>a.a.</u>** $\mathbf{x} \in \mathsf{T}_k$.

$$S_d(\mathbf{u}; N) = \sum_1^N \mathbf{e}(u_1 n + \ldots + u_d n^d) \quad \mathbf{u} = (\mathbf{x}|\mathbf{y}); \qquad \mathsf{M}_k\left(S_d(\mathbf{x}, \mathbf{y}; N)\right) = |\sup_{\mathbf{y}} |S_d(\mathbf{x}, \mathbf{y}; N)|$$

Why do we expect $\sup_{\mathbf{y}\in\mathsf{T}_{d-k}}|T_{\varphi}(\mathbf{x},\mathbf{y};N)|$ to be small?

The set of large Weyl sums is very sparse.



Figure: Almost all vertical lines miss red areas • of large Weyl sums in T₂

$$S_d(\mathbf{u}; N) = \sum_1^N \mathbf{e}(u_1 n + \ldots + u_d n^d) \quad \mathbf{u} = (\mathbf{x}|\mathbf{y}); \qquad \mathsf{M}_k\left(S_d(\mathbf{x}, \mathbf{y}; N)\right) = |\operatorname{sup}_{\mathbf{y}}|S_d(\mathbf{x}, \mathbf{y}; N)| \qquad 12/36$$

Some concrete results

with

L. Flaminio & G. Forni (2014); T. Wooley (2016); C. Chen & I.S. (2019):

For φ with a nontrivial Wronskian, for <u>a.a.</u> $\mathbf{x} \in \mathsf{T}_k$,

$$\sup_{\mathbf{y}\in\mathsf{T}_{d-k}}|T_{\varphi}(\mathbf{x},\mathbf{y};N)|\leqslant N^{1/2+\gamma+o(1)},\qquad N\to\infty,$$
 some $\gamma<1/2.$

To formulate concrete results we need the following **important parameter**:

$$\sigma_k(\boldsymbol{\varphi}) = \sum_{j=k+1}^d \deg \varphi_j$$

= sum of degrees in the **y**-part over which we maximise

 $S_d(\mathbf{u};N) = \sum_1^N \mathbf{e}(u_1n + \ldots + u_dn^d) \quad \mathbf{u} = (\mathbf{x}|\mathbf{y}); \qquad \mathsf{M}_k\left(S_d(\mathbf{x},\mathbf{y};N)\right) = \quad \sup_{\mathbf{y}} |S_d(\mathbf{x},\mathbf{y};N)| \qquad 13/36$

Wooley (2016)

For $1 \leq k \leq d-1$ we can take

$$\gamma_W = \frac{2\sigma_k(\varphi) + d - k + 1}{2d^2 + 4d - 2k + 2}.$$

Using completing technique and a new self-improving argument:

Chen & Shparlinski (2019)

For $1 \le k \le d-1$ we can take

$$\gamma_{CS} = \frac{2\sigma_k(\varphi) + d - k}{2d^2 + 4d - 2k} < \gamma_W.$$

Remark

This is nontrivial, ie, $\gamma_{CS} < 1/2$ iff $\sigma_k(\varphi) < d(d+1)/2$, which always holds in the classical case $\{\varphi_1(T), \dots, \varphi_d(T)\} = \{T, \dots, T^d\}$ but may fail otherwise, eg, take d = 2 and $\varphi = (T, T^m)$, $m \ge 3$. $s_d(\mathbf{u}; N) = \sum_1^N \mathbf{e}(u_1n + \dots + u_dn^d)$ $\mathbf{u} = (\mathbf{x}|\mathbf{y});$ $M_k(S_d(\mathbf{x}, \mathbf{y}; N)) = \sup_{\mathbf{y}} |S_d(\mathbf{x}, \mathbf{y}; N)|$ 14

Remark

C. Chen & I.S. (2019): For

$$\{\varphi_1(T),\ldots,\varphi_d(T)\}=\{T,\ldots,T^d\}$$

and k = d (ie, without sup) we can take $\gamma = 0$. This recovers the well-known statement that for **a.a.** $\mathbf{u} \in T_d$,

$$|S_d(\mathbf{u};N)| \le N^{1/2+o(1)}, \qquad N \to \infty.$$

Question: (Should we always expect square-root cancellation?) Can we can take $\gamma = 0$ for "generic enough" φ , eg, $\varphi = (T, \dots, T^d)$?

We believe this is false and in some cases we can prove that $\gamma \geq 1/4$.

$$S_d(\mathbf{u}; N) = \sum_1^N \mathbf{e}(u_1 n + \ldots + u_d n^d) \quad \mathbf{u} = (\mathbf{x} | \mathbf{y}); \qquad \mathsf{M}_k \left(S_d(\mathbf{x}, \mathbf{y}; N) \right) = \left| \sup_{\mathbf{y}} |S_d(\mathbf{x}, \mathbf{y}; N) | \qquad 15/2$$

36

Norms of Maximal Operators

Maximal Operators are well-known in Functional Analysis:

$$\mathsf{M}_k: \quad F(\mathbf{x}, \mathbf{y}) \mapsto G(\mathbf{x}) = \sup_{\mathbf{y} \in \mathsf{T}_{d-k}} |F(\mathbf{x}, \mathbf{y})|$$

We have discussed bounds on $M_k(T_{\varphi}(\mathbf{x}, \mathbf{y}; N))$ for <u>a.a.</u> $\mathbf{x} \in T_k$.

A variation of this is a question about bounds on the L^{ρ} -norm:

$$\left\|\mathsf{M}_{k}\left(T_{\varphi}(\mathbf{x},\mathbf{y};N)\right)\right\|_{\rho} = \left(\int_{\mathsf{T}_{k}}\mathsf{M}_{k}\left(T_{\varphi}(\mathbf{x},\mathbf{y};N)\right)^{\rho}d\mathbf{x}\right)^{1/\rho}$$

To simplify the discussion from now on we always assume that $\varphi_i(T) = T^i$, $i = 1, \dots, d$, and thus we look at $\mathsf{M}_k\left(S_d(\mathbf{x}, \mathbf{y}; N)\right) = \sup_{\mathbf{y} \in \mathsf{T}_{d-k}} |S_d(\mathbf{x}, \mathbf{y}; N)| \,.$

16/36

 $S_d(\mathbf{u}; N) = \sum_1^N \mathbf{e}(u_1 n + \ldots + u_d n^d) \quad \mathbf{u} = (\mathbf{x}|\mathbf{y}); \qquad \mathsf{M}_k\left(S_d(\mathbf{x}, \mathbf{y}; N)\right) = \sup_{\mathbf{y}} |S_d(\mathbf{x}, \mathbf{y}; N)|$

Baker, Chen & Shparlinski (2021)

For any positive $\rho \ge d^2 + 2d - k$, for $N \to \infty$, we have $N^{1-k(k+1)/2\rho} \ll \|\mathsf{M}_k(S_d(\mathbf{x}, \mathbf{y}; N))\|_{\rho} \le N^{1-k(k+1)/2\rho+o(1)}.$

Remark

The significance of the cut-off $d^2 + 2d - k$ is in this interpretation:

$$d^{2} + 2d - k = d(d+1) + d - k$$

 $= 2 \times$ critical exponent in VMVT + dimension of y in sup.

Remark

By convexity, we can also have an upper bound for $\rho < d^2 + 2d - k$ and recover the previous result of C. Chen & I.S. (2019).

$$S_d(\mathbf{u}; N) = \sum_1^N \mathbf{e}(u_1 n + \ldots + u_d n^d) \quad \mathbf{u} = (\mathbf{x}|\mathbf{y}); \qquad M_k \left(S_d(\mathbf{x}, \mathbf{y}; N)\right) = \sup_{\mathbf{y}} |S_d(\mathbf{x}, \mathbf{y}; N)| \qquad 17/36$$

For d = 2, ie, for the maximal operator on Gauss sums

$$M_1(G(x,y)) = \sup_{y \in [0,1]} |G(x,y)|, \quad \text{where} \quad G(x,y) = \sum_{n=1}^N \mathbf{e}(xn + yn^2),$$

R. Baker (2021), refining a result of *A. Barron* (2020), has given matching upper and lower bounds:

Baker (2021)

We have

$$N^{a(\rho)}(\log N)^{b(\rho)} \ll \|M_1(G(x,y))\|_{\rho} \ll N^{a(\rho)}(\log N)^{b(\rho)},$$

where

$$a(\rho) = \begin{cases} 3/4 & \text{for } 1 \le \rho \le 4, \\ 1 - 1/\rho & \text{for } \rho > 4, \end{cases} \qquad b(\rho) = \begin{cases} 1/\rho & \text{for } \rho = 4, \\ 0 & \text{for } \rho \ge 1, \ \rho \ne 4. \end{cases}$$

Question:

Can we extend this to any $d \ge 2$ and control $\|\mathsf{M}_k(S_d(\mathbf{x}, \mathbf{y}; N))\|_{\rho}$ for any $\rho \ge 1$ rather than only for $\rho \ge d^2 + 2d - k$?

18 / 36

 $S_d(\mathbf{u}; N) = \sum_1^N \mathbf{e}(u_1 n + \ldots + u_d n^d) \quad \mathbf{u} = (\mathbf{x}|\mathbf{y}); \qquad M_k \left(S_d(\mathbf{x}, \mathbf{y}; N) \right) = \sup_{\mathbf{y}} |S_d(\mathbf{x}, \mathbf{y}; N)|$

Binomial Weyl Sums and PDE's

Let Ω_m be the smallest possible value of ϑ such that for any $\varphi(T) \in \mathbb{Z}[T]$ of degree m and any $\tau \in \mathbb{R}$ for <u>a.a.</u> $x \in [0, 1]$ we have

$$\sup_{y \in [0,1]} \left| \sum_{n=1}^{N} \mathbf{e} \left(x \varphi(n) + y(\tau \varphi(n) + n) \right) \right| \le N^{\vartheta + o(1)}.$$

This is exactly the previous scenario of maximal operators of *L. Flaminio & G. Forni* (2014), *T. Wooley* (2016) and *C. Chen & I.S.* (2019).

- Bad news: none of the previous bounds works;
- Good news: but the methods do!

Remark

These sums look weird but their existence is justified by applications to Schrödinger, Korteweg-de Vries, Airy and other classical PDE's, see M. B. Erdogan & G. Shakan (2019) — fractal dimension of solutions.

 $S_d(\mathbf{u}; N) = \sum_1^N \mathbf{e}(u_1 n + \ldots + u_d n^d) \quad \mathbf{u} = (\mathbf{x}|\mathbf{y}); \quad \mathsf{M}_k\left(S_d(\mathbf{x}, \mathbf{y}; N)\right) = \sup_{\mathbf{y}} |S_d(\mathbf{x}, \mathbf{y}; N)|$

M. B. Erdogan & G. Shakan (2019):

$$\Omega_m \le \min\left\{1 - \frac{1}{2^m + 1}, 1 - \frac{1}{2m(m-1) + 1}\right\}$$

The results of *C. Chen & I.S.* (2019) on "dense" Weyl sums do not work but the method does:

$$\begin{array}{l} \text{Chen \& Shparlinski (2019)} \\ \text{We have} & \Omega_m \leq 1 - \frac{1}{2s(m) + 1}, \\ \text{where} & s(2) = 3, \quad s(3) = 5, \quad s(4) = 8, \quad s(5) = 12, \quad s(6) = 18, \\ \quad s(7) = 24, \quad s(8) = 31, \quad s(9) = 40, \quad s(10) = 49, \\ \text{while for } m \geq 11 \text{ we define } r(m) = \lfloor \sqrt{2m + 2} \rfloor \\ \quad s(m) = m(m-1)/2 + r(m) - \begin{cases} 0, \quad 2m + 2 \geq r(m)^2 + r(m), \\ 1, \quad \text{otherwise.} \end{cases} \end{array}$$

$$S_{d}(\mathbf{u}; N) = \sum_{1}^{N} \mathbf{e}(u_{1}n + \ldots + u_{d}n^{d}) \quad \mathbf{u} = (\mathbf{x}|\mathbf{y}); \qquad \mathsf{M}_{k}\left(S_{d}(\mathbf{x}, \mathbf{y}; N)\right) = |\sup_{\mathbf{y}} |S_{d}(\mathbf{x}, \mathbf{y}; N)| \qquad 20/2$$

36

What is truth about Ω_m ?

J. Brandes, S. T. Parsell, C. Poulias, G. Shakan & R. C. Vaughan (2020):

 $\Omega_2 = \Omega_3 = 3/4.$

J. Brandes & I.S. (2020): For any $m \ge 2$, we have $\Omega_m \ge 3/4$.

Question:

Is it true that for any $m \ge 2$ we have $\Omega_m = 3/4$?

Remark

For m = 4 the upper bound $\Omega_4 \le 16/17$, due to C. Chen & I.S. (2019), is already very far.

Question:

What about sums with two nonlinear polynomials, eg,

$$\sup_{y \in [0,1]} \left| \sum_{n=1}^{N} \mathbf{e} \left(x \varphi(n) + y(\tau \varphi(n) + n^2) \right) \right|?$$

 $S_d(\mathbf{u}; N) = \sum_1^N \mathbf{e}(u_1 n + \ldots + u_d n^d) \quad \mathbf{u} = (\mathbf{x} | \mathbf{y}); \qquad \mathsf{M}_k \left(S_d(\mathbf{x}, \mathbf{y}; N) \right) = \quad \sup_{\mathbf{y}} |S_d(\mathbf{x}, \mathbf{y}; N)|$

Local Mean Value Theorems for Weyl Sums

Let μ be a measure supported on some set $\mathcal{V} \subseteq \mathsf{T}_d$, thus $\mu(\mathcal{V}) = 1$. Our goal here is to estimate the following mean values on \mathcal{V} :

VMVT Restricted to
$$\mathcal{V}$$
: $\int_{\mathcal{V}} |S_d(\mathbf{u};N)|^{
ho} \mathrm{d} \mu(\mathbf{u})$

The set \mathcal{V} can be some

- algebraic structure, eg, an algebraic variety;
- analytic structure, eg, a smooth curve or a surface defined by analytic functions;
- geometric structure, eg, a linear space or an intersection of spheres, balls and convex bodies;
- combinatorial structure, eg, a sets with a small sumset and a generalised arithmetic progression.

Warning: When \mathcal{V} shrinks, eg, becomes a small box, we are approaching the scenario of pointwise bounds.

Small boxes

$$C_{\boldsymbol{\xi},\delta} = [\xi_1,\xi_1+\delta] \times \cdots \times [\xi_d,\xi_d+\delta].$$

In fact, this case has applications to most of the other cases and to several other problems. For example, *C. Chen, B. Kerr, J. Maynard & I.S.* (2020), established the optimal for $\delta \gg N^{-1/2}$ bound

$$\int_{\mathcal{C}_{\boldsymbol{\xi},\delta}} |S_d(\mathbf{u};N)|^4 \mathrm{d}\mathbf{u} \ll \delta^d N^2 + \delta^{d-2} N^{1+o(1)}$$

and used it in studying the Lebesque measure of the set of Weyl sums with exactly square root cancellation, ie, with

$$cN^{1/2} \le |S_d(\mathbf{u}; N)| \le CN^{1/2}.$$

Also, various bounds can be found in

- C. Chen & I.S. (2019),
- C. Demeter & B. Langowski (2021),
- C. Chen, J. Brandes & I.S. (2023).

 $S_d(\mathbf{u}; N) = \sum_1^N \mathbf{e}(u_1 n + \ldots + u_d n^d) \quad \mathbf{u} = (\mathbf{x}|\mathbf{y}); \qquad \mathsf{M}_k\left(S_d(\mathbf{x}, \mathbf{y}; N)\right) = |\operatorname{sup}_{\mathbf{y}}|S_d(\mathbf{x}, \mathbf{y}; N)|$

For s > 0, we define

$$I_{s,d}(\delta;N) = \sup_{\boldsymbol{\xi} \in \mathsf{T}_d} \int_{\mathcal{C}_{\boldsymbol{\xi},\delta}} |S_d(\mathbf{u};N)|^{2s} \mathrm{d}\mathbf{u}.$$

There is a huge zoo of bounds and conjectures. Here are some plots where we set

$$\delta = N^{-\tau}$$
 and $\kappa_{s,d}(\tau) = \limsup_{N \to \infty} \frac{\log I_{s,d}(N^{-\tau};N)}{\log N}$,

$$S_d(\mathbf{u}; N) = \sum_1^N \mathbf{e}(u_1 n + \ldots + u_d n^d) \quad \mathbf{u} = (\mathbf{x}|\mathbf{y}); \qquad \mathsf{M}_k\left(S_d(\mathbf{x}, \mathbf{y}; N)\right) = |\operatorname{sup}_{\mathbf{y}}|S_d(\mathbf{x}, \mathbf{y}; N)| \qquad 24/36$$

The lowest plot wins $\kappa_{2,2}(\tau)$



Figure: d = s = 2, D-L = C. Demeter & B. Langowski (2021), W = Wooley (2023), B-C-S = C. Chen, J. Brandes & I.S. (2023)

 $S_d(\mathbf{u};N) = \sum_1^N \mathbf{e}(u_1n + \ldots + u_dn^d) \quad \mathbf{u} = (\mathbf{x}|\mathbf{y}); \qquad \mathsf{M}_k\left(S_d(\mathbf{x},\mathbf{y};N)\right) = \quad \sup_{\mathbf{y}} |S_d(\mathbf{x},\mathbf{y};N)| \qquad \qquad 25/36$

The lowest plot wins: $\kappa_{3,3}(\tau)$



Figure: d = s = 3, D-L = C. Demeter & B. Langowski (2021), W = Wooley (2023), B-C-S = C. Chen, J. Brandes & I.S. (2023)

 $S_d(\mathbf{u};N) = \sum_1^N \mathbf{e}(u_1n + \ldots + u_dn^d) \quad \mathbf{u} = (\mathbf{x}|\mathbf{y}); \qquad \mathsf{M}_k\left(S_d(\mathbf{x},\mathbf{y};N)\right) = \quad \sup_{\mathbf{y}} |S_d(\mathbf{x},\mathbf{y};N)| \qquad \qquad 26/36$

The lowest plot wins: $\kappa_{2,3}(\tau)$



Figure: d = 3, s = 2, D-L = C. Demeter & B. Langowski (2021), W = Wooley (2023), B-C-S = C. Chen, J. Brandes & I.S. (2023)

 $S_d(\mathbf{u}; N) = \sum_1^N \mathbf{e}(u_1 n + \ldots + u_d n^d) \quad \mathbf{u} = (\mathbf{x} | \mathbf{y}); \qquad M_k \left(S_d(\mathbf{x}, \mathbf{y}; N) \right) = \quad \sup_{\mathbf{v}} |S_d(\mathbf{x}, \mathbf{y}; N)| \qquad 27/36$

Ideas behind the proofs

In *C. Chen, J. Brandes & I.S.* (2023) we improve some results of *C. Demeter & B. Langowski* (2021) on $I_{s,d}(\delta; N)$ using a combination of two different approaches:

- Results of *R. Baker* (1981) on the structure of large Weyl sums.
- Bounds on complete rational exponential sums

$$\left| \sum_{x=1}^{q} \mathbf{e}(F(x)/q) \right| \le q^{o(1)} \prod_{i=2}^{d} q_i^{1-1/i}, \qquad F \in \mathbb{Z}[X], \ \deg F = d$$

which depend on the arithmetic structure of $q = q_2 \dots q_d$ with $gcd(q_i, q_j) = 1$ for $2 \le i < j \le d$, such that (i) q_2 is cube-free, (ii) q_i is *i*-th power-full but (i + 1)-th power-free when $3 \le i \le d - 1$, (iii) q_d is *d*-th power-full.

Results on the "inhomogeneous" VMVT: due to J. Brandes & K. Hughes (2021) and T. Wooley (2022)

 $S_d(\mathbf{u}; N) = \sum_{1}^{N} \mathbf{e}(u_1 n + \ldots + u_d n^d) \quad \mathbf{u} = (\mathbf{x}|\mathbf{y}); \qquad \mathsf{M}_k\left(S_d(\mathbf{x}, \mathbf{y}; N)\right) = \quad \sup_{\mathbf{y}} |S_d(\mathbf{x}, \mathbf{y}; N)|$

28 / 36

Structure of large Weyl sums

We make use of the following result (refined major arcs):

Baker (1981)

We fix some $\varepsilon > 0$ and suppose that for a real

 $A > N^{1-1/2d(d-1)+\varepsilon}$

we have

$$|S_d(\mathbf{u};N)| \ge A.$$

Then there exist integers q, r_1, \ldots, r_d such that

$$1 \le q \le (NA^{-1})^d N^{\varepsilon}, \qquad \gcd(q, r_1, \dots, r_d) = 1$$

and

$$\left| u_j - \frac{r_j}{q} \right| \le q^{-1} \left(N A^{-1} \right)^d N^{-j+\varepsilon}, \qquad j = 1, \dots, d.$$

 $S_d(\mathbf{u}; N) = \sum_1^N \mathbf{e}(u_1 n + \ldots + u_d n^d) \quad \mathbf{u} = (\mathbf{x}|\mathbf{y}); \quad \mathsf{M}_k\left(S_d(\mathbf{x}, \mathbf{y}; N)\right) = |\sup_{\mathbf{y}} |S_d(\mathbf{x}, \mathbf{y}; N)|$

Inhomogeneous VMVT

The classical form of the **VMVT** gives a precise bound on the number of solutions to the system of equations

$$x_1^i + \ldots + x_s^i = x_{s+1}^i + \ldots + x_{2s}^i, \quad i = 1, \ldots, d,$$

 $1 \le x_1, \ldots, x_{2s} \le N.$

Any such bound implies the same bound for the inhomogeneous system

$$x_1^i + \ldots + x_s^i = x_{s+1}^i + \ldots + x_{2s}^i + h_i, \quad i = 1, \ldots, d,$$

 $1 \le x_1, \ldots, x_{2s} \le N.$

However, if $(h_1, \ldots, h_d) \neq 0$ then we can hope for a better bound because the case $\{x_1, \ldots, x_s\} = \{x_{s+1}, \ldots, x_{2s}\}$ does not contribute anymore.

R. Baker, M. Munsch & IS (2021):

Besides the above application to the **VMVT** over a small cube such bounds also relevant to large sieve estimates over polynomial moduli.

J. Brandes & K. Hughes (2021) and *T. Wooley* (2022) give such better bounds, but the **truth** is not clear yet.

 $S_d(\mathbf{u}; N) = \sum_1^N \mathbf{e}(u_1 n + \ldots + u_d n^d) \quad \mathbf{u} = (\mathbf{x} | \mathbf{y}); \qquad \mathsf{M}_k \left(S_d(\mathbf{x}, \mathbf{y}; N) \right) = ||\mathbf{sup}_{\mathbf{y}}| S_d(\mathbf{x}, \mathbf{y}; N)| \qquad 30/36$

The existence of large complete rational sums

Our lower bounds rest on the following estimate for the complete sums

$$S_{\varphi}(q; a, c) = \sum_{x=1}^{q} \mathbf{e} \left(\left(ax + c\varphi(x) \right) / q \right)$$

with $\varphi(X) = a_d X^d + \ldots + a_1 X$.

Brandes & Shparlinski (2020)

Let p be a prime satisfying $p > (2k)^4$ with $p \nmid a_d$, and let $c \in \mathbb{Z}$ with $p \nmid c$. Then there exists $a \in \mathbb{Z}$ with $p \nmid (a + c)$ such that $|S_{\varphi}(p; a, a + c)| \ge 0.3p^{1/2}.$

The proof is based on the bound of *E. Bombieri* (1966) for exponential sums along an algebraic curve over \mathbb{F}_p .

We combine it with an approximation formula of *R. C. Vaughan* (1997) and a result of *R. J. Duffin & A. C. Schaeffer* (1941) on approximation of almost all real numbers by fractions with prime denominators.

 $S_d(\mathbf{u}; N) = \sum_1^N \mathbf{e}(u_1 n + \ldots + u_d n^d) \quad \mathbf{u} = (\mathbf{x}|\mathbf{y}); \qquad M_k \left(S_d(\mathbf{x}, \mathbf{y}; N)\right) = \sup_{\mathbf{y}} |S_d(\mathbf{x}, \mathbf{y}; N)| \qquad 31/36$

Question:

Extend the range of sets of polynomials $\varphi = (\varphi_1, \dots, \varphi_d) \in \mathbb{Z}[T]^d$ which admit non-trivial bounds on maximal operators.

Remark:

We need good versions of **VMVT** with φ . One interesting example is provided by Bourgain (2017):

$$\int_{(x,y)\in\mathsf{T}_2} \left| \sum_{n=1}^N \mathbf{e}(xn^2 + yn^4) \right|^{10} dx dy \le N^{17/3 + o(1)}$$

$$S_d(\mathbf{u}; N) = \sum_1^N \mathbf{e}(u_1 n + \ldots + u_d n^d) \quad \mathbf{u} = (\mathbf{x}|\mathbf{y}); \qquad \mathsf{M}_k\left(S_d(\mathbf{x}, \mathbf{y}; N)\right) = \sup_{\mathbf{y}} |S_d(\mathbf{x}, \mathbf{y}; N)|$$

36

Question:

What are "correct" multidimensional analogues for the sums

$$\sum_{n_1=1}^{N_1} \dots \sum_{n_s=1}^{N_m} \mathbf{e} \left(u_1 \varphi_1(n_1, \dots, n_s) + \dots + u_d \varphi_d(n_1, \dots, n_s) \right)$$

with
$$(\varphi_1, \ldots, \varphi_d) \in \mathbb{Z}[T_1, \ldots, T_s]^d$$
?

Remark:

Some versions of the VMVT are known, S. Parsell, S. Prendiville & T. Wooley (2013), S. Guo & R. Zhang (2019), S Guo (2020), but not in the same generality as in the one-dimensional case; many other tools are also missing.

$$S_d(\mathbf{u}; N) = \sum_1^N \mathbf{e}(u_1 n + \ldots + u_d n^d) \quad \mathbf{u} = (\mathbf{x}|\mathbf{y}); \quad \mathsf{M}_k \left(S_d(\mathbf{x}, \mathbf{y}; N)\right) = |\operatorname{sup}_{\mathbf{y}} |S_d(\mathbf{x}, \mathbf{y}; N)| \quad 33/36$$

Definition

The discrepancy of
$$oldsymbol{\gamma}_n=(\gamma_{1,n},\ldots,\gamma_{d,n})\in\mathsf{T}_d$$
, $n=1,\ldots,N$ is defined as

$$D_N = \sup_{\mathfrak{B} \subseteq \mathsf{T}_d} |\#\{1 \le n \le N : \gamma_n \in \mathfrak{B}\} - \operatorname{vol}(\mathfrak{B})N|$$

where $\mathfrak{B} = [\alpha_1, \beta_1] \times \ldots \times [\alpha_d, \beta_d] \subseteq \mathsf{T}_d$ is a box of volume $\operatorname{vol}(\mathfrak{B}) = (\beta_1 - \alpha_1) \ldots (\beta_d - \alpha_d).$

For $\varphi = (\varphi_1, \dots, \varphi_d) \in \mathbb{Z}[T]^d$ and $\mathbf{u} = (u_1, \dots, u_d) \in \mathsf{T}_d$, let $D_{\varphi}(\mathbf{u}; N)$ be the discrepancy of

$$(\{u_1\varphi_1(n)\},\ldots,\{u_d\varphi_d(n)\}), \qquad n=1,\ldots,N.$$

As before, we decompose $\mathbf{u} = (\mathbf{x}|\mathbf{y}) \in \mathsf{T}_k imes \mathsf{T}_{d-k}$ and write

$$D_{\varphi}(\mathbf{x}, \mathbf{y}; N) = D_{\varphi}(\mathbf{u}; N).$$

 $S_d(\mathbf{u}; N) = \sum_1^N \mathbf{e}(u_1 n + \ldots + u_d n^d) \quad \mathbf{u} = (\mathbf{x} | \mathbf{y}); \qquad \mathsf{M}_k \left(S_d(\mathbf{x}, \mathbf{y}; N) \right) = ||\mathbf{sup}_{\mathbf{y}}| S_d(\mathbf{x}, \mathbf{y}; N)| \qquad 34/36$

Question:

Estimate

$$\mathsf{M}_{k}\left(D_{\varphi}(\mathbf{x},\mathbf{y};N)\right) = \sup_{\mathbf{y}\in\mathsf{T}_{d-k}}\left|D_{\varphi}(\mathbf{x},\mathbf{y};N)\right|.$$

for **<u>a.a.</u>** $\mathbf{x} \in \mathsf{T}_k$ and on average with respect to the L^{ρ} -norm:

$$\left\|\mathsf{M}_{k}\left(D_{\varphi}(\mathbf{x},\mathbf{y};N)\right)\right\|_{\rho} = \left(\int_{\mathsf{T}_{k}}\mathsf{M}_{k}\left(D_{\varphi}(\mathbf{x},\mathbf{y};N)\right)^{\rho}d\mathbf{x}\right)^{1/\rho}$$

Remark:

By the Koksma–Szüsz inequality, we can express $D_{\varphi}(\mathbf{u}; N)$ via certain linear combinations of Weyl sums. The previous methods should work with some modifications and adjustments.

$$S_d(\mathbf{u}; N) = \sum_1^N \mathbf{e}(u_1 n + \ldots + u_d n^d) \quad \mathbf{u} = (\mathbf{x}|\mathbf{y}); \qquad \mathsf{M}_k\left(S_d(\mathbf{x}, \mathbf{y}; N)\right) = |\operatorname{sup}_{\mathbf{y}}|S_d(\mathbf{x}, \mathbf{y}; N)|$$

35 / 36

Thank you!!

Questions and especially **Answers** are very Weylcome

 $S_d(\mathbf{u}; N) = \sum_1^N \mathbf{e}(u_1 n + \ldots + u_d n^d) \quad \mathbf{u} = (\mathbf{x} | \mathbf{y}); \quad \mathsf{M}_k \left(S_d(\mathbf{x}, \mathbf{y}; N) \right) = \left| \sup_{\mathbf{y}} |S_d(\mathbf{x}, \mathbf{y}; N) | \right|$