

# Comparing equivalences of polynomials

An addendum to the talk of K. Györy on reduction theory of integral polynomials

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# Introduction

The purpose of this talk is to compare the  $\mathbb{Z}$ , the  $GL_2(\mathbb{Z})$  and the Hermite equivalences following the paper *Hermite equivalence of polynomials* (B.E.Gy.R.S. 2023)

Basic results:

- Lagrange 1773: There are only finitely many  $GL_2(\mathbb{Z})$ -equivalence classes of **quadratic** polynomials in  $\mathbb{Z}[X]$  with given non-zero discriminant. (effective)
- Hermite 1851: There are only finitely many  $GL_2(\mathbb{Z})$ -equivalence classes of **cubic** polynomials in  $\mathbb{Z}[X]$  with given non-zero discriminant. (effective)
- Delone, Nagell 1930: There are only finitely many  $\mathbb{Z}$ -equivalence classes of **cubic monic** polynomials in  $\mathbb{Z}[X]$  with given non-zero discriminant. (ineffective)

# Hermite's attempt to extend his results

Hermite attempted to extend his theorem (1851) on cubic polynomials to the case of arbitrary degree  $n \geq 4$ , but without success. Instead, he proved a theorem with a weaker equivalence.

- Hermite 1857: There are only finitely many Hermite equivalence classes of polynomials of degree  $n \geq 2$  in  $\mathbb{Z}[X]$  with given non-zero discriminant.

Hermite's original objective was finally achieved more than a century later.

- Birch and Merriman 1972: There are only finitely many  $GL_2(\mathbb{Z})$ -equivalence classes of polynomials of degree  $n \geq 2$  in  $\mathbb{Z}[X]$  with given non-zero discriminant. (ineffective)
- Independently: Györy 1973: There are only finitely many  $\mathbb{Z}$ -equivalence classes of monic polynomials of degree  $n \geq 2$  in  $\mathbb{Z}[X]$  with given non-zero discriminant. (effective)

# $\mathbb{Z}$ -equivalence

Two **monic** polynomials  $f, g \in \mathbb{Z}[X]$  of degree  $n$  are said to be  **$\mathbb{Z}$ -equivalent**, if

$$g(X) = \varepsilon^n \cdot f(\varepsilon X + z)$$

for some  $\varepsilon \in \{1, -1\}$  and  $z \in \mathbb{Z}$ .

If  $f$  and  $g$  are  $\mathbb{Z}$ -equivalent irreducible polynomials,  $\beta$  is a root of  $g$ , then  $\varepsilon\beta + z$  is a root of  $f$ . So,  $f$  and  $g$  are  $\mathbb{Z}$ -equivalent, iff there exist  $\alpha, \beta$  with  $f(\alpha) = 0 = g(\beta)$  and  $\alpha = \varepsilon\beta + z$ .

If  $f$  and  $g$  are  $\mathbb{Z}$ -equivalent monic irreducible polynomials, and  $\alpha$  and  $\beta$  are their corresponding roots, then

- $f$  and  $g$  have the same discriminant,
- $\mathbb{Q}(\alpha) = \mathbb{Q}(\beta)$ ;  $\mathbb{Z}[\alpha] = \mathbb{Z}[\beta]$ .

## $GL_2(\mathbb{Z})$ -equivalence

Two polynomials  $f, g \in \mathbb{Z}[X]$  of degree  $n$  are said to be  $GL_2(\mathbb{Z})$ -**equivalent**, if

$$g(X) = \pm(cX + d)^n \cdot f\left(\frac{aX + b}{cX + d}\right), \text{ for some } \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in GL_2(\mathbb{Z}).$$

If  $f$  and  $g$  are  $GL_2(\mathbb{Z})$ -equivalent irreducible polynomials,  $g(\beta) = 0$ , then  $f\left(\frac{a\beta+b}{c\beta+d}\right) = 0$ . So,  $f$  and  $g$  are  $GL_2(\mathbb{Z})$ -equivalent, iff there exist  $\alpha, \beta$  with  $f(\alpha) = 0 = g(\beta)$  and  $\alpha = \frac{a\beta+b}{c\beta+d}$ .

If  $f, g$  are  $GL_2(\mathbb{Z})$ -equivalent monic irreducible polynomials, and  $\alpha$  and  $\beta$  are their corresponding roots, then

- $f$  and  $g$  have the same discriminant,
- $\mathbb{Q}(\alpha) = \mathbb{Q}(\beta)$ ;  $\mathbb{Z}[\alpha] = \mathbb{Z}[\beta]$ .

# Hermite equivalence

Let  $f(X) = f_0X^n + \dots + f_n = f_0(X - \alpha_1) \cdots (X - \alpha_n) \in \mathbb{Z}[X]$   
Hermite associated the decomposable form below to  $f(X)$

$$[f](\underline{X}) = f_0^{n-1} \prod_{i=1}^n (\alpha_i^{n-1} X_1 + \alpha_i^{n-2} X_2 + \dots + X_n),$$

where  $\underline{X} = (X_1, X_2, \dots, X_n)^T$ . Two polynomials  $f, g \in \mathbb{Z}[X]$  are said to be **Hermite equivalent**, if there is a matrix  $U \in GL_n(\mathbb{Z})$ , such that

$$[g](\underline{X}) = [f](U\underline{X}).$$

If  $f$  and  $g$  are irreducible and there exist  $\alpha, \beta$  roots of  $f$  and  $g$  for which

$$(\beta^{n-1}, \beta^{n-2}, \dots, 1) = (\alpha^{n-1}, \alpha^{n-2}, \dots, 1) \cdot U,$$

then  $f$  and  $g$  are Hermite equivalent. I.e. if  $f$  and  $g$  are monic and  $\mathbb{Z}[\alpha] = \mathbb{Z}[\beta]$ , then  $f$  and  $g$  are Hermite equivalent.

If  $f$  and  $g$  are Hermite equivalent irreducible polynomials, then

- $f$  and  $g$  have the same discriminant,
- $\mathbb{Q}[X]/(f(X))$  is isomorphic to  $\mathbb{Q}[X]/(g(X))$

Two monic polynomials  $f, g \in \mathbb{Z}[X]$  are Hermite equivalent if and only if  $\mathbb{Z}[\alpha] \simeq \mathbb{Z}[\beta]$ . So, if  $\alpha = p(\beta)$  and  $\beta = q(\alpha)$  for some polynomials  $p, q \in \mathbb{Z}[X]$ , then  $f, g \in \mathbb{Z}[X]$  are Hermite equivalent.

# Comparing monic equivalences

In general:

- $GL_2(\mathbb{Z})$ -equivalent polynomials are Hermite equivalent
- $\mathbb{Z}$ -equivalent polynomials are  $GL_2(\mathbb{Z})$ -equivalent and thus Hermite equivalent

## Degree 2

Separable monic quadratic polynomials in  $\mathbb{Z}[X]$  are Hermite equivalent if and only if they are  $\mathbb{Z}$ -equivalent.

## Degree 3

Separable cubic polynomials in  $\mathbb{Z}[X]$  are Hermite equivalent if and only if they are  $GL_2(\mathbb{Z})$ -equivalent. Moreover, every Hermite equivalence class of separable monic cubic polynomials in  $\mathbb{Z}[X]$  is a union of at most 10  $\mathbb{Z}$ -equivalence classes. (Bennett, 2001)



## Degree 4

- Every Hermite equivalence class of separable quartic polynomials in  $\mathbb{Z}[X]$  is a union of at most 10  $GL_2(\mathbb{Z})$ -equivalence classes, and at most 7, if the discriminant is large enough. (Bhargava, 2022)
- Every Hermite equivalence class of separable monic quartic polynomials in  $\mathbb{Z}[X]$  is a union of at most 2760  $\mathbb{Z}$ -equivalence classes, and at most 182, if the discriminant is large enough. (Akhtari, Bhargava, 2022)

Degree  $\geq 5$ 

Every Hermite equivalence class of separable monic polynomials of degree  $n \geq 5$  in  $\mathbb{Z}[X]$  is a union of at most  $2^{4(n+5)(n-2)}$   $\mathbb{Z}$ -equivalence classes. (Evertse, 2011)

Cubic Hermite equivalence class with many  $\mathbb{Z}$ -classes

Let  $f(X) = X^3 - X^2 - 2X + 1$ , then  $f(X)$  is Hermite equivalent to  $g_i(X)$  ( $i = 0, \dots, 8$ ), where

$g_0(X) = X^3 - X^2 - 2X + 1$	$\alpha$
$g_1(X) = X^3 - 3X^2 - 4X - 1$	$\alpha^2 - 2\alpha$
$g_2(X) = X^3 - 4X^2 + 3X + 1$	$\alpha^2 - \alpha$
$g_3(X) = X^3 - 5X^2 + 6X - 1$	$\alpha^2$
$g_4(X) = X^3 - 6X^2 + 5X - 1$	$\alpha^2 + \alpha$
$g_5(X) = X^3 - 9X^2 + 20X + 1$	$2\alpha^2 - \alpha$
$g_6(X) = X^3 - 11X^2 - 102X - 181$	$4\alpha^2 - 9\alpha$
$g_7(X) = X^3 - 29X^2 + 138X - 181$	$5\alpha^2 + 4\alpha$
$g_8(X) = X^3 - 40X^2 + 391X + 181$	$9\alpha^2 - 5\alpha$

These nine polynomials belong to nine distinct  $\mathbb{Z}$ -equivalence classes. (Ljunggren, 1942 and Baulin, 1960)

## Checking $GL_2(\mathbb{Z})$ -equivalence

Let  $\alpha$  and  $\beta$  be roots of the irreducible monic Hermite equivalent polynomials  $f$  and  $g$ , respectively, with  $\mathbb{Q}(\alpha) = \mathbb{Q}(\beta)$ . Then there exists  $p \in \mathbb{Z}[X]$ , such that  $\beta = p(\alpha)$ .

Assume that the action of the Galois group of  $f(X)$  on the set of the roots of  $f(X)$  is doubly transitive, then  $f$  and  $g$  are  $GL_2(\mathbb{Z})$  equivalent if and only if there exist  $a, b, c, d \in \mathbb{Z}$  integers, such that  $ad - bc = \pm 1$  and

$$\frac{a\alpha + b}{c\alpha + d} = p(\alpha).$$

### Remark

If the Galois group of  $g(X)$  is not 2-transitive, then theoretically it may happen that there is a solution of the above equation only if there are two different conjugates of  $\alpha$  in the equation.

# Big quartic Hermite equivalence class

Let  $f(X) = X^4 - X^3 - 4X^2 + 2X + 1$  and  $\alpha$  be a root of  $f(X)$ , then there are 10  $\mathbb{Z}$ -inequivalent generators of  $\mathbb{Z}[\alpha]$ . (Gaál, 2019, p.300)

$$\beta_1 = \alpha^3 - 4\alpha$$

$$\beta_2 = \alpha^2 - 2\alpha$$

$$\beta_3 = 2\alpha^2 - \alpha$$

$$\beta_4 = \alpha^3 - \alpha^2$$

$$\beta_5 = \alpha$$

$$\beta_6 = \alpha^2 + \alpha$$

$$\beta_7 = \alpha^3 - \alpha^2 - 3\alpha$$

$$\beta_8 = \alpha^3 - \alpha^2 - 4\alpha$$

$$\beta_9 = 4\alpha^3 - 4\alpha^2 - 15\alpha$$

$$\beta_{10} = 5\alpha^3 - \alpha^2 - 21\alpha$$

The Galois group of  $f$  is  $S_4$ , so by solving the equations

$$\frac{a\beta_i + b}{c\beta_i + d} = \beta_j$$

for  $a, b, c, d \in \mathbb{Z}$ , with  $ad - bc = \pm 1$ , and for all pairs  $i, j = 1, \dots, 10$ , we conclude that the Hermite equivalence class of  $\alpha$  splits into three  $GL_2(\mathbb{Z})$  equivalence classes:

$$\{\beta_1, \beta_5, \beta_8\}, \{\beta_2, \beta_6, \beta_7, \beta_{10}\}, \{\beta_3, \beta_4, \beta_9\}.$$

# Big quintic and sextic Hermite equivalence classes

## Quintic Hermite equivalence class (Gaál, Györy, 1999)

Let

$$f(X) = X^5 - 5X^3 + X^2 + 3X - 1,$$

then the Galois group of  $f$  is  $S_5$  and the Hermite equivalence class of  $f(X)$  consists of 39  $\mathbb{Z}$ -equivalence classes which form 10  $GL_2(\mathbb{Z})$ -equivalence classes.

## Sextic Hermite equivalence class (Bilu, Gaál, Györy, 2004)

Let

$$f(X) = X^6 - 5X^5 + 2X^4 + 18X^3 - 11X^2 - 19X + 1,$$

then the Galois group of  $f$  is  $S_6$  and the Hermite equivalence class of  $f(X)$  consists of 45  $\mathbb{Z}$ -equivalence classes which form 11  $GL_2(\mathbb{Z})$ -equivalence classes.

# Infinite families

The defining polynomials  $f$  and  $g$  of the algebraic integers  $\alpha$  and  $\beta$  are Hermite equivalent, if there exist  $p, q \in \mathbb{Z}[X]$ , such that  $\beta = p(\alpha)$  and  $\alpha = q(\beta)$ .

This means that  $f(X) \mid q(p(X)) - X$ . I.e.  $f(X) \cdot h(X) + X$  must be a polynomial of  $p(X)$  for some  $h \in \mathbb{Z}[X]$ . If we can guarantee that the Galois group of  $f(X)$  is doubly transitive, and we can find the polynomials above with  $\deg p \leq \deg f - 2$ , then  $\alpha$  and  $\beta$  can not be in the same  $GL_2(\mathbb{Z})$ -equivalence class, since the equation

$$\frac{a\alpha + b}{c\alpha + d} = p(\alpha)$$

clearly has no solution with  $ad - bc = \pm 1$  as the degree of  $\alpha$  in  $(c\alpha + d) \cdot p(\alpha) - (a\alpha + b)$  is less than the degree of  $f$ .

## Infinite quartic examples

Let  $p(X) = X^2 - r$  and  $q(X) = X^2 - s$ , where  $r, s \in \mathbb{Z}$ . Then let

$$f(X) = q(p(X)) - X = (X^2 - r)^2 - X - s$$

- There exist infinitely many  $r, s \in \mathbb{Z}$ , for which  $f(X)$  is irreducible and has Galois group  $S_4$ . (Kappe, Warren, 1989). Let's consider such parameters  $r, s$ .
- Let  $\alpha$  be a root of  $f(X)$ , then  $\beta = p(\alpha) = \alpha^2 - r$  is a root of  $g(X) = (X^2 - s)^2 - X - r$ . The polynomials  $f(X)$  and  $g(X)$  are clearly Hermite equivalent, but not  $GL_2(\mathbb{Z})$ -equivalent, since  $\deg p \leq \deg f - 2$ . Indeed, if there would be a solution  $(a, b, c, d) \in \mathbb{Z}^4$ , with  $ad - bc = \pm 1$ , of

$$\frac{a\alpha + b}{c\alpha + d} = \alpha^2 - r,$$

then there would be a nonzero cubic polynomial in  $\mathbb{Z}[X]$  with root  $\alpha$ , which is not possible. (Bérczes, Evertse, Györy, 2013)

## Infinite examples of arbitrary degree $n \geq 4$

Let us fix  $p(X) = X - X^2$ . Our aim is to find  $f, h \in \mathbb{Z}[X]$ , for which  $f(X) \cdot h(X) + X$  is a polynomial of  $X - X^2$ .

$p(X) = X - X^2$  is not the simplest choice in the monic case, but it can easily be extended to the nonmonic case. With  $p(X) = X^2$ , it is easier to find monic examples, but it can not be extended to the nonmonic case.

We will assume that

$$f^{(n)}(X) = X^n - t \cdot h^{(n)}(1 - X),$$

where  $t$  is a prime. This form is useful, since  $f$  is automatically irreducible and  $h^{(n)}(X) \cdot h^{(n)}(1 - X)$  is a polynomial of  $X - X^2$ . So we only have to find  $h^{(n)}(X)$ , such that

$$X^n \cdot h^{(n)}(X) = r^{(n)}(X - X^2) - X.$$



$$X^n \cdot h^{(n)}(X) = r^{(n)}(X - X^2) - X.$$

On the left hand side the constant term is 0, so  $r^{(n)}(0)$  is also 0. Therefore we can write

$$X^n \cdot h^{(n)}(X) = (X - X^2) \cdot a^{(n)}(X - X^2) - X$$

$$X^{n-1} \cdot h^{(n)}(X) = (1 - X) \cdot a^{(n)}(X - X^2) - 1$$

We want to create an example for any  $n$ , so  $a^{(n)}(X)$  has to be a partial sum of a power series  $C(X)$ , for which

$$(1 - X) \cdot C(X - X^2) - 1 = 0.$$

It is true for the well known generating function  $C(X)$  of the Catalan numbers:

$$C(X) = \sum_{j=0}^{\infty} \frac{1}{j+1} \binom{2j}{j} \cdot X^j$$

Let  $a^{(n)}(X)$  be the  $n - 2$ -nd partial sum of the generating function  $C(X)$  of the Catalan numbers, and let

$$h^{(n)}(X) = \frac{(1 - X) \cdot a^{(n)}(X - X^2) - 1}{X^{n-1}},$$

$$k^{(n)}(X) := -h^{(n)}(1 - X) = -\frac{X \cdot a^{(n)}(X - X^2) - 1}{(1 - X)^{n-1}},$$

$$f^{(n)}(X) = X^n - t \cdot h^{(n)}(1 - X) = X^n + t \cdot k^{(n)}(X).$$

Then

$$f^{(n)}(X) \cdot h^{(n)}(X) + X = q(X - X^2),$$

where

$$q(X) = X \cdot a^{(n)}(X) - t \cdot \frac{X \cdot a^{(n)}(X)^2 - a^{(n)}(X) + 1}{X^{n-1}}$$

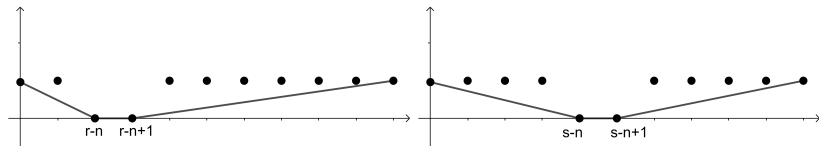
Fortunately,  $C(X)$  satisfies  $X \cdot C(X)^2 - C(X) + 1 = 0$ , so  $q(X)$  is also an integer polynomial and therefore  $\mathbb{Z}[\alpha] = \mathbb{Z}[\alpha - \alpha^2]$ .

# Proving irreducibility of $k^{(n)}(X)$

One can show that

$$h^{(n)}(-X) = -\frac{1}{n} \binom{2n-2}{n-1} \cdot \sum_{i=0}^{n-2} \binom{n}{i} \cdot \frac{(n-1-i)(n-i)}{(n-1+i)(n+i)} \cdot X^i.$$

Let  $n < r < 6n/5$  and  $6n/5 < s < 36n/25$  be primes. If  $n > 24$ , then there exist such primes (Nagura, 1952). Furthermore, it is easy to construct the  $r$ - and  $s$ -Newton polygons of  $h^{(n)}(-X)$ :



These polygons consist of three primitive edges of length  $r-n$ ,  $1$ ,  $2n-r-3$  and  $s-n$ ,  $1$ ,  $2n-s-3$  respectively.

## Dumas's irreducibility criterion (1906)

The degree of any nontrivial factor of  $f(X) \in \mathbb{Z}[X]$  must be the sum of lengths of the primitive edges of the Newton polygons of  $f(X)$  with respect to any prime.

- In our case an irreducible factor of  $h^{(n)}(-X)$  must be the sum of some of the numbers  $r - n$ ,  $1$ ,  $2n - r - 3$  and also the sum of some of the numbers  $s - n$ ,  $1$ ,  $2n - s - 3$ .
- This implies that if  $h^{(n)}(-X) \in \mathbb{Q}[X]$  is reducible, then it has a rational root. But it can be shown that  $h^{(n)}(-X)$  does not have a rational root, so it is irreducible for any  $n \geq 4$  and so is  $k^{(n)}(X)$ .

Proving  $GL_2(\mathbb{Z})$ -inequivalence of  $\alpha$  and  $p(\alpha) = \alpha - \alpha^2$ 

- By the Frobenius's or the Chebotarev's density theorem, there are infinitely many primes  $p$ , such that  $k^{(n)}(X)$  has no root modulo  $p$ .
- Finally let  $t$  be a prime with  $t \equiv -C_{n-1}^{-1} \pmod{p}$ , where  $p$  is a prime for which  $k^{(n+1)}(X)$  has no root modulo  $p$ .
- If the Galois group of  $f^{(n)}(X)$  would not be 2-transitive, then there would be a root of  $k^{(n+1)}(X)$  modulo  $p$ , which is a contradiction. Therefore,  $\alpha$  and  $\alpha - \alpha^2$  are not  $GL_2(\mathbb{Z})$ -equivalent.

$$f^{(4)}(X) = X^4 + t \cdot (2X^2 + 2X + 1)$$

$$f^{(5)}(X) = X^5 + t \cdot (5X^3 + 5X^2 + 3X + 1)$$

$$f^{(6)}(X) = X^6 + t \cdot (14X^4 + 14X^3 + 9X^2 + 4X + 1)$$

$$f^{(7)}(X) = X^7 + t \cdot (42X^5 + 42X^4 + 28X^3 + 14X^2 + 5X + 1)$$

$$\vdots$$

# Nonmonic equivalences

## $GL_2(\mathbb{Z})$ -equivalence

Two polynomials  $f, g \in \mathbb{Z}[X]$  of degree  $n$  are said to be  $GL_2(\mathbb{Z})$ -**equivalent**, if

$$g(X) = \pm(cX + d)^n \cdot f\left(\frac{aX + b}{cX + d}\right), \text{ for some } \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in GL_2(\mathbb{Z}).$$

A polynomial is called properly nonmonic, if it is not  $GL_2(\mathbb{Z})$  equivalent to a monic polynomial.

## Hermite equivalence

Two polynomials  $f, g \in \mathbb{Z}[X]$  are said to be **Hermite equivalent**, if there is a matrix  $U \in GL_n(\mathbb{Z})$ , such that

$$[g](\underline{X}) = [f](U\underline{X}).$$

If  $f$  and  $g$  are irreducible and there exist  $\alpha, \beta$  roots of  $f$  and  $g$  for which

$$(\beta^{n-1}, \beta^{n-2}, \dots, 1) = (\alpha^{n-1}, \alpha^{n-2}, \dots, 1) \cdot U,$$

then  $f$  and  $g$  are Hermite equivalent. I.e. if the  $\mathbb{Z}$ -modules

$$\mathbb{Z}\langle 1, \alpha, \dots, \alpha^{n-1} \rangle \text{ and } \mathbb{Z}\langle 1, \beta, \dots, \beta^{n-1} \rangle$$

are equal, then  $f$  and  $g$  are Hermite equivalent. (The converse is not true in general.)

If  $\beta = p(\alpha)$  for some  $p \in \mathbb{Z}[X]$ , then it is not necessarily true, that

$$p(\alpha)^k \in \mathbb{Z}\langle 1, \alpha, \dots, \alpha^{n-1} \rangle.$$

### Lemma

If the leading coefficient of  $f(X)$  is  $c$  and  $p(X) = X \cdot s(cX)$  for some  $s \in \mathbb{Z}[X]$ , then  $p(\alpha)^k \in \mathbb{Z}\langle 1, \alpha, \dots, \alpha^{n-1} \rangle$  for each  $k = 0, 1, \dots, n-1$ .

# Infinite examples

Compared to the monic case, the only difference is that now we want to find  $p, q \in \mathbb{Z}[X]$  polynomials of the form  $p(X) = X \cdot s(f_0 X)$  and  $q(X) = X \cdot r(g_0 X)$ , where  $f_0$  and  $g_0$  are the leading coefficients of  $f$  and  $g$  and  $r, s \in \mathbb{Z}[X]$ . For these  $p, q$  polynomials we have to find  $h \in \mathbb{Z}[X]$ , such that

$$f(X) \cdot h(X) = q(p(X)) - X.$$

By the previous lemma, in this case  $f$  and  $g$  are Hermite equivalent, but if we can choose  $p(X)$  such that  $\deg p \leq \deg(f) - 2$ , then  $f$  and  $g$  are not  $GL_2(\mathbb{Z})$ -equivalent. In this way, we can create infinite examples for Hermite equivalence classes that split into at least two  $GL_2(\mathbb{Z})$ -equivalence classes.



## Example of degree 4

Let  $s \in \mathbb{Z}$  be an integer such that  $s \equiv 1 \pmod{15}$  and let

$$f(X) = 2X^4 + 8X^2 + 2sX - 2s^2 + 9.$$

$$\left. \begin{array}{l} f(X) \equiv 2(X+1)(X^3 + 2X^2 + 2X + 2) \pmod{3} \\ f(X) \equiv 2(X^2 + X + 2)(X+1)(X+3) \pmod{5} \end{array} \right\} \text{Gal}(f) \simeq S_4.$$

Let  $\alpha$  be a root of  $f(X)$ , and  $\beta = \alpha + 2\alpha^2$ . Then

$$1, \beta, \beta^2, \beta^3 \in \mathbb{Z} \langle 1, \alpha, \alpha^2, \alpha^3 \rangle.$$

The integer defining polynomial  $g(X)$  of  $\beta$  also has a leading coefficient 2, and  $q(X)$  is also of the form  $X \cdot r(2X)$ . Therefore

$$1, \alpha, \alpha^2, \alpha^3 \in \mathbb{Z} \langle 1, \beta, \beta^2, \beta^3 \rangle,$$

so  $\alpha$  and  $\beta$  are Hermite equivalent, but not  $GL_2(\mathbb{Z})$ -equivalent, since  $\deg p \leq \deg f - 2$ .

## Example of degree 5

Let  $s \in \mathbb{Z}$  be an integer such that  $s \equiv 71 \pmod{110}$  and let

$$2X^5 + (-800s^2 - 278s - 24)X + 800s^2 + 253s + 20.$$

$$\left. \begin{array}{l} f(X) \equiv 2X^5 + 3X + 3 \pmod{5} \\ f(X) \equiv 2X(X^2 + 9)(X + 3)(X + 8) \pmod{11} \end{array} \right\} \text{Gal}(f) \simeq S_5.$$

Let  $\alpha$  be a root of  $f(X)$ , and  $\beta = \alpha + 2\alpha^2$ . Then

$$1, \beta, \beta^2, \beta^3, \beta^4 \in \mathbb{Z} \langle 1, \alpha, \alpha^2, \alpha^3, \alpha^4 \rangle.$$

The integer defining polynomial  $g(X)$  of  $\beta$  also has a leading coefficient 2, and  $q(X)$  is also of the form  $X \cdot r(2X)$ . Therefore

$$1, \alpha, \alpha^2, \alpha^3, \alpha^4 \in \mathbb{Z} \langle 1, \beta, \beta^2, \beta^3, \beta^4 \rangle,$$

so  $\alpha$  and  $\beta$  are Hermite equivalent, but not  $GL_2(\mathbb{Z})$ -equivalent.

# Infinite examples of arbitrary degree $n \geq 4$

We could generalize the infinite monic examples based on the generating function of the Catalan numbers to the (properly) nonmonic case. Let again  $a^{(n)}(X)$  be the  $n - 2$ -nd partial sum of the generating function  $C(X)$  of the Catalan numbers,  $t$  and  $c$  be prime numbers, and let

$$h^{(n)}(X) = \frac{(1 - X) \cdot a^{(n)}(X - X^2) - 1}{X^{n-1}}, \quad k^{(n)}(X) = -h^{(n)}(1-X),$$

$$f^{(n)}(X) = cX^n + t \cdot k^{(n)}(cX).$$

Then we have

$$f^{(n)}(X) \cdot h^{(n)}(cX) + X = q(X - cX^2),$$

where

$$q(X) = X \cdot a^{(n)}(cX) - c^{n-2}t \cdot \frac{cX \cdot a^{(n)}(cX)^2 - a^{(n)}(cX) + 1}{(cX)^{n-1}}$$

$$f^{(n)}(X) = cX^n + t \cdot k^{(n)}(cX)$$

- If  $c = 1$ , then we get back the family of monic examples. But if  $c$  is a prime, then

$$f^{(n)}(X) \equiv t \pmod{c},$$

so if  $t$  is chosen to be a non  $n$ -th power remainder modulo  $c$ , then there is no integer solution to  $F^{(n)}(X, Y) = Y^n \cdot f^{(n)}(X/Y) = \pm 1$ , hence  $f^{(n)}(X)$  is properly nonmonic and primitive.

- Let  $\alpha$  be a root of  $f^{(n)}(X)$ , then  $\alpha$  and  $\alpha - c\alpha^2$  are Hermite equivalent but not  $GL_2(\mathbb{Z})$ -equivalent algebraic numbers.
- This family of examples is infinite for every degree  $n \geq 4$  and for every leading coefficient  $c$ , since there are infinitely many possible choices for  $t$ , and the discriminant of  $f^{(n)}(X) \rightarrow \infty$  as  $t \rightarrow \infty$ .

# Conclusion

For  $n \geq 4$ , the notions of Hermite and  $GL_2(\mathbb{Z})$ -equivalence of polynomials of degree  $n$  are different in general. More precisely:

- For every integer  $n \geq 4$ , there exists an infinite collection of Hermite equivalence classes, each containing two monic polynomials  $f$  and  $g$  that are not  $GL_2(\mathbb{Z})$ -equivalent.
- For every integer  $n \geq 4$ , there exists an infinite collection of Hermite equivalence classes, each containing two primitive polynomials  $f$  and  $g$  that are properly nonmonic and not  $GL_2(\mathbb{Z})$ -equivalent.

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