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Diophantine equations with restricted coefficients

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Number Theory and Algebra Seminar
Debrecen, 17 March 2023

- ▶ **Introduction**
- ▶ New theorems
- ▶ Auxiliary results from others
- ▶ New lemmas
- ▶ Sketch of proofs

Introduction

Polynomials with restricted coefficients:

If the coefficients belong to the set

- ▶ $\{-1, 1\}$: Littlewood polynomials
- ▶ $\{0, 1\}$: Newman polynomials (assuming that the constant term is not zero)

The zeroes (in particular, the number of real zeroes) of polynomials with coefficients belonging to $\{-1, 0, 1\}$ have been studied by

- ▶ Bloch and Pólya (1932)
- ▶ Schur (1933)
- ▶ Szegő (1934)
- ▶ Erdős and Turán (1950)
- ▶ Drungilas and Dubickas (2009)
- ▶ Borwein and Erdélyi (1995, 1997)

Introduction

Further,

- ▶ Borwein and Mossinghoff (2000)
- ▶ Peled, Sen and Zeitouni (2016)
- ▶ Dubickas and Jankauskas (2009)
- ▶ Mossinghoff (2003)
- ▶ Hare, Jankauskas (2021)

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Notations

- ▶ Let $S = \{p_1 < p_2 < \dots < p_k\}$ be a finite set of primes, and write \mathbb{Z}_S for the set of integers having no prime divisors outside S .
- ▶ Note that we have $\pm 1 \in \mathbb{Z}_S$ but $0 \notin \mathbb{Z}_S$ for any S .
- ▶ In particular, we have $\mathbb{Z}_S = \{-1, 1\}$ for $S = \emptyset$.
- ▶ Write P_S for the set of polynomials in $\mathbb{Z}[x]$ with coefficients belonging to \mathbb{Z}_S .

Theorem 1

Theorem (Hajdu, V, 2022)

Let $f(x) \in P_S$ of degree d and b be a non-zero rational number. Then there exist effectively computable constants $C_1 = C_1(p_k)$ and $C_2 = C_2(b, d, p_k)$ depending only on p_k and on b, d, p_k , respectively, such that if $d > C_1$ then the equality

$$f(x) = by^n \tag{1}$$

with $x, y, n \in \mathbb{Z}$ and $|y| > 1$ implies $n < C_2$.

Theorem 2

Theorem (Hajdu, V, 2022)

Let $f(x) \in P_S$ with $S = \emptyset$ (i.e. $f(x)$ is a Littlewood polynomial, with all coefficients being ± 1). Assume further that $\deg f \geq 3$, and let b be a non-zero rational number. Then all solutions $x, y, n \in \mathbb{Z}$ of the equation

$$f(x) = by^n \quad (2)$$

with $n \geq 2$, satisfy

$$\max(|x|, |y|, n) \leq C_3,$$

except when $n = 2$ and f is one of the forms

$$\begin{aligned} f(x) = & \pm(x^{2k+1} + \dots + x^{k+1} - x^k - \dots - 1), \\ & \pm(x^{2k+1} - x^{2k} + \dots + (-1)^{k+2}x^{k+1} + (-1)^k x^k + \dots + 1) \end{aligned}$$

with some $k \geq 1$. Here $C_3 = C_3(b, d)$ is an effectively computable constant depending only on b and the degree d of f .

Theorem 3

Theorem (Hajdu, Tijdeman, V, 2023)

Let $f(x)$ be a Littlewood polynomial of degree n with $n \geq 4$ and $a, b \in \mathbb{Q}$ with $a \neq 0$. Then all solutions $x, y, m \in \mathbb{Z}$ of the equation

$$f(x) = ay^m + b \quad (3)$$

with $m \geq 2$, satisfy

$$\max(|x|, |y|, m) \leq C_4,$$

except when

Theorem 3

Theorem

except when $m = 2$ and

$$f(x) \in \{f^*(x), f^*(x) - 2f^*(0), xf^*(x) \pm 1\} \quad (4)$$

with $b = 0, -2f^*(0), \pm 1$, respectively, where

$$f^*(x) = \pm(x^{2\ell+1} + x^{2\ell} + \dots + x^{\ell+1} - x^\ell - \dots - 1), \quad \text{or}$$
$$f^*(x) = \pm((-x)^{2\ell+1} + (-x)^{2\ell} + \dots + (-x)^{\ell+1} - (-x)^\ell + \dots - 1)$$

with $\ell = \lfloor (n-1)/2 \rfloor$ and the solutions are given by $y = Q(x)$ with $Q(\pm x) = \pm(x^k + \dots + x + 1)$. Here C_4 depends only on n, a, b and we use the convention that $m \leq 3$ if $|y| \leq 1$.

Theorem 4

Theorem (Hajdu, Tijdeman, V, 2023)

Let $f(x)$ be a Littlewood polynomial of degree n with $n \geq 4$ and $g(x) \in \mathbb{Z}[x]$. Then the equation

$$f(x) = g(y) \tag{5}$$

has only finitely many solutions in integers x, y , except when $g(y) = f(T(y))$ with some polynomial $T(y)$ of degree ≥ 1 having rational coefficients, or if $f(x)$ is of the shape (4) and $g(y) = a(cy + d)^2 + b$ for a, b as in Theorem 3 and $c, d \in \mathbb{Q}, c \neq 0$.

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Lemma-Gy

Lemma (Györy, 1972)

Let S be as above, and A, B be non-zero rational numbers. Then the solutions $x, y \in \mathbb{Z}_S$ of the equation

$$Ax - By = 1$$

satisfy

$$\max(|x|, |y|) < C_5,$$

where $C_5 = C_5(A, B, p_k)$ is an effectively computable constant depending only on A, B and p_k .

The statement is an immediate consequence of a classical result of Györy (1972).

Lemma-ST

Lemma (Schinzel, Tijdeman, 1976)

Let $F(x) \in \mathbb{Z}[x]$ having two distinct (complex) roots of degree D and height H , and B be a non-zero rational number. Then the equality

$$F(x) = By^n$$

with $x, y \in \mathbb{Z}$, $|y| > 1$ implies that $n < C_6$, where $C_6 = C_6(B, D, H)$ is an effectively computable constant depending only on B , D and H .

The statement immediately follows from the Schinzel-Tijdeman (1976) theorem.

Lemma-B

- ▶ For any finite set S of primes, write \mathbb{Q}_S for those rationals whose denominators (in their primitive forms) are composed exclusively from the primes in S .
- ▶ By the height $h(s)$ of a rational number s we mean the maximum of the absolute values of the numerator and the denominator of s (written again in primitive form).
- ▶ The following Lemma is a theorem of Brindza (1984).

Lemma-B

Lemma (Brindza, 1984)

Let $F(x) \in \mathbb{Z}[x]$ of degree D and height H , and write $F(x) = A \prod_{i=1}^{\ell} (x - \gamma_i)^{r_i}$, where A is the leading coefficient of F , and $\gamma_1, \dots, \gamma_{\ell}$ are the distinct complex roots of $F(x)$, with multiplicities r_1, \dots, r_{ℓ} , respectively. Further, let n be an integer with $n \geq 2$, and put $q_i = \frac{n}{(n, r_i)}$ ($i = 1, \dots, \ell$).

Suppose that (q_1, \dots, q_{ℓ}) is not a permutation of any of the ℓ -tuples $(q, 1, \dots, 1)$ ($q \geq 1$), $(2, 2, 1, \dots, 1)$.

Then for any finite set S of primes and non-zero rational B , the solutions $x, y \in \mathbb{Q}_S$ of the equation

$$F(x) = By^n$$

satisfy

$$\max(h(x), h(y)) < C_7(B, n, D, H, S),$$

where $C_7(B, n, D, H, S)$ is an effectively computable constant depending only on B, n, D, H, S .

Lemma-BT

Lemma (Bilu, Tichy, 2000)

Let $f(x), g(x) \in \mathbb{Q}[x]$ be non-constant polynomials. Then the following two statements are equivalent.

- (I) *The equation $f(x) = g(y)$ has infinitely many rational solutions x, y with a bounded denominator.*
- (II) *We have $f = \varphi(F(\kappa))$ and $g = \varphi(G(\lambda))$, where $\kappa(x), \lambda(x) \in \mathbb{Q}[x]$ are linear polynomials, $\varphi(x) \in \mathbb{Q}[x]$, and $F(x), G(x)$ form a standard pair over \mathbb{Q} such that the equation $F(x) = G(y)$ has infinitely many rational solutions with a bounded denominator.*

Lemma-DG

In the proof of Theorem 4, the decomposability of polynomials will play an important role. We call $F(x) \in \mathbb{Q}[x]$ decomposable over \mathbb{Q} if there exist $G(x), H(x) \in \mathbb{Q}[x]$ with $\deg(G) > 1$, $\deg(H) > 1$ such that $F = G(H)$, and otherwise indecomposable.

Lemma (Dujella, Gusić, 2006)

Let $F(x) \in \mathbb{Z}[x]$, of the form

$$F(x) = x^n + u_1x^{n-1} + \cdots + u_{n-1}x + u_n.$$

If $\gcd(u_1, n) = 1$ then $F(x)$ is indecomposable over \mathbb{Q} .

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Lemma 1

Lemma (Hajdu, V, 2022)

Let m be a non-negative integer and let

$$G(x) = b_0x^t + b_1x^{t-1} + \dots + b_{t-1}x + b_t \quad (6)$$

with $b_0, b_1, \dots, b_t \in \mathbb{Z}$, such that all the coefficients of the polynomial $(x-1)^m G(x)$ belong to $\{-1, 1\}$. Then $b_1 = 0$ implies $m = 1$.

Lemma 2

Lemma (Hajdu, V, 2022)

Let $G(x) \in \mathbb{Z}[x]$ and m be a non-negative integer. If all the coefficients of $(x-1)^m G(x)$ belong to $\{-1, 1\}$ then, writing

$$G(x) = b_0 x^t + b_1 x^{t-1} + \dots + b_{t-1} x + b_t,$$

for all $i = 0, 1, \dots, t$ we have

$$-\min\left(\binom{m+i}{m}, \binom{m+t-i}{m}\right) \leq b_i \leq \min\left(\binom{m+i}{m}, \binom{m+t-i}{m}\right).$$

Here we use the convention $\binom{0}{0} = 1$.

Lemma 3 and 4

Lemma (Hajdu, V, 2022)

Let $n \geq 2$ and $g(x) \in \mathbb{Z}[x]$ be non-zero polynomial. If all the coefficients of $(x - 1)^{n-1}g^n(x)$ belong to $\{-1, 1\}$ then we have $n = 2$.

Lemma 3 and 4

Lemma (Hajdu, V, 2022)

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Lemma (Hajdu, V, 2022)

Let $g(x) \in \mathbb{Z}[x]$ be a non-constant polynomial and m, n be integers with $0 \leq m < n$. If all the coefficients of the polynomial $(x-1)^m(g(x))^n$ belong to $\{-1, 1\}$ then $n = 2$, $m = 1$ and $g(x)$ is of the form

$$g(x) = \pm(x^\ell + \dots + x + 1)$$

with some $\ell \geq 1$.

Lemma 5 and 6

A multiple root is a root of multiplicity > 1 .

Lemma (Hajdu, Tijdeman, V, 2023)

Let $f(x)$ be a Littlewood polynomial and $b \in \mathbb{Q}$. If $f(x) - b$ has a root of multiplicity ≥ 3 , or has at least two roots of multiplicities ≥ 2 , then $b \in \mathbb{Z}$. Further, in both cases the multiple roots of $f(x) - b$ are units.

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A multiple root is a root of multiplicity > 1 .

Lemma (Hajdu, Tijdeman, V, 2023)

Let $f(x)$ be a Littlewood polynomial and $b \in \mathbb{Q}$. If $f(x) - b$ has a root of multiplicity ≥ 3 , or has at least two roots of multiplicities ≥ 2 , then $b \in \mathbb{Z}$. Further, in both cases the multiple roots of $f(x) - b$ are units.

Lemma (Hajdu, Tijdeman, V, 2023)

Let $f(x)$ be a Littlewood polynomial of degree n and let $b \in \mathbb{Z}$. Then for any root α of $f(x) - b$ with $|\alpha| > 2$ we have

$$\frac{|\alpha| - 2}{|\alpha| - 1} |\alpha|^n < |b|.$$

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Proof of Theorem 1 — steps

- ▶ The statement immediately follows by Lemma-ST, as soon as $f(x)$ has two distinct roots.
- ▶ Thus we can assume that $f(x)$ is of the form $f(x) = u(x + v)^d$, with some $u \in \mathbb{Z}$ and $v \in \mathbb{Q}$.
- ▶ Investigating the value of u, v, d and the coefficients of f we have two cases:
In the first case $d, d - 1 \in \mathbb{Z}_5$ satisfy the equation $w_1 - w_2 = 1$, while in the second case $d, (d - 1)/2 \in \mathbb{Z}_5$ are solution to the $w_1 - 2w_2 = 1$ in $w_1, w_2 \in \mathbb{Z}_5$.
- ▶ Using Lemma-Gy we get that for the solutions of the above equations $\max(|w_1|, |w_2|) < C_8$ holds, where $C_8 = C_8(p_k)$.
- ▶ So if $d > C_8$, then d cannot come from a solution of the above equations, which implies that $f(x)$ is not of the form $u(x + v)^d$.

Proof of Theorem 2 — steps

- ▶ We show that n can be bounded in the required way. Following the lines of the proof of Theorem 1, we see that it is sufficient to exclude the case when $f(x)$ is of the form $(x \pm 1)^d$. However, this is clearly impossible.
- ▶ We may suppose that $n \geq 2$ is fixed. Thus our statement immediately follows from Lemma-B, except in the following two cases:
 - i) $n = 2$ and $f(x) = h(x)(g(x))^2$ where $\deg h = 2$ and $h(x), g(x) \in \mathbb{Z}[x]$;
 - ii) n is arbitrary and $f(x) = (h(x))^m(g(x))^n$, where $\deg h \leq 1$, $0 \leq m < n$ and $h(x), g(x) \in \mathbb{Z}[x]$.

Proof of Theorem 2 — steps

- ▶ In the case i) write $h(x) = x^2 + v_1x + v_2$ and

$$g(x) = x^\ell + u_1x^{\ell-1} + \dots + u_\ell.$$

Case i) cannot hold.

- ▶ Consider the case ii). We can suppose that the polynomials f, g, h are monic and $h(x) = x - 1$. The statement follows from Lemma 4.

Proof of Theorem 3 — sketch

- ▶ bound for m follows from Lemma-ST unless $f(x) - b$ is of the shape $f(x) = (x - s)^n$ with $s \in \mathbb{Q}$
- ▶ by Lemma-ST, we may assume that m is fixed
- ▶ our claim follows from Lemma-B, except for the following two cases:
 - $m \geq 2$ is arbitrary and $f(x) - b = (P(x))^r(Q(x))^t$ with $0 \leq r < t$, $t \geq 2$ and $P, Q \in \mathbb{Q}[x]$, $\deg(P) \leq 1$;
 - $m = 2$ and $f(x) - b = P(x)(Q(x))^2$ with $P, Q \in \mathbb{Q}[x]$, $\deg(P) = 2$.

- ▶ $n = \deg(f) = 4$
- ▶ $n \geq 5$
 - ▶ case (i): possible values of r and then s
investigation of coefficients
(Lemma 4', 5, 6)
 - ▶ case (ii): $P(x) = x^2 + ux + w$
parity and possible values of u, w then
 $P(x) = x^2 \pm 3x + 4, x^2 \pm x + 4, x^2 \pm x - 2, x^2 \pm 3x + 2, x^2 \pm x + 2.$

we get these cases cannot occur
 - ▶ (Lemma 4', 5 and 6)

Proof of Theorem 4

- ▶ by Lemma-DG: $f(x)$ is indecomposable over \mathbb{Q}
- ▶ thus, if equation $f(x) = g(y)$ has infinitely many solutions in integers x, y , then by Lemma-BT we have only two options:
 - $g(x)$ is of the form $g(x) = f(T(x))$ with some $T(x) \in \mathbb{Z}[x]$
 - $f(x)$ is of the shape $f(x) = AF(ux + w) + B$ with some $A, B, u, w \in \mathbb{Q}$, $Au \neq 0$, where F belongs to a standard pair.

- ▶ L. Hajdu - N. Varga: *Diophantine equations for polynomials with restricted coefficients, I (Power values)*. Bulletin of the Australian Math. Soc. 106/2 (2022), 254-263.
- ▶ L. Hajdu - R. Tijdeman - N. Varga: *Diophantine equations for Littlewood polynomials*. Acta Arithmetica, accepted