

The sup-norm problem of automorphic forms: spherical and non-spherical

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Online Number Theory Seminar
Debrecen
2026 February 13

¹based on an ongoing work with Valentin Blomer, Gergely Harcos
and Djordje Milićević

Warm-up

The sup-norm
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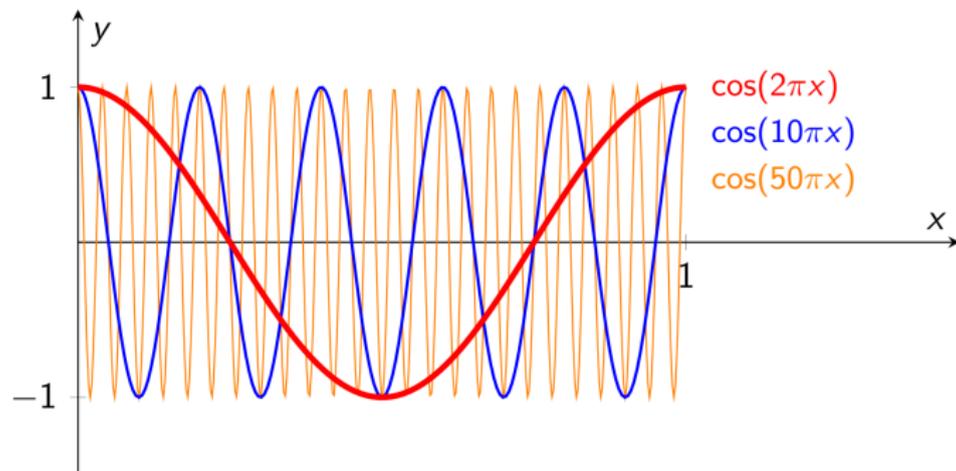
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The sup-norm problem of automorphic forms: spherical and non-spherical

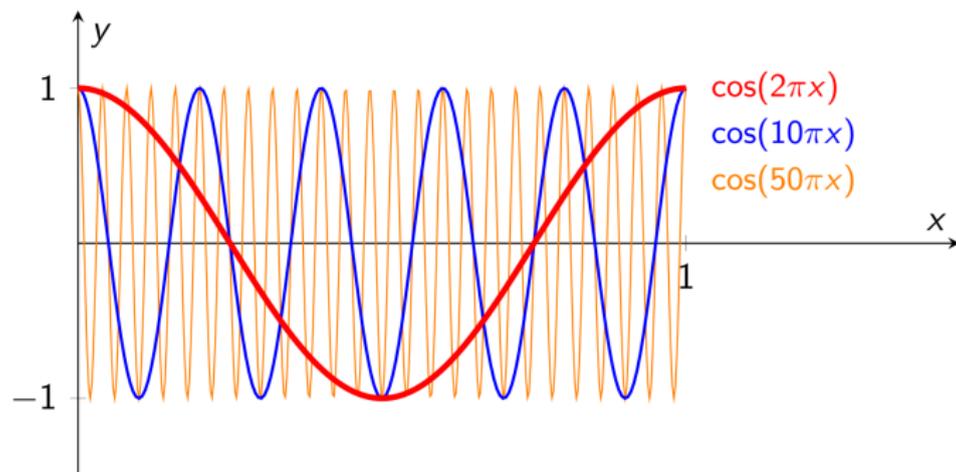
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The L^2 -normalized periodic solutions of the differential equation $\frac{d^2}{dx^2} f(x) = c \cdot f(x)$ remain bounded, even when c gets large.

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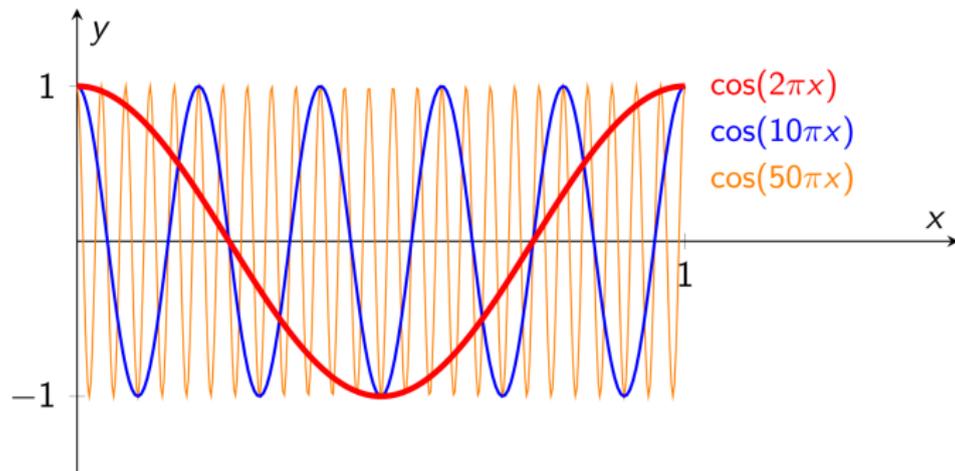
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Warm-up



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Fundamental question (in the physics language): how is the mass of high-energy eigenstates distributed?

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Classical setup I

We recall Poincaré's model for (the two-dimensional) hyperbolic geometry:

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Classical setup I

We recall Poincaré's model for (the two-dimensional) hyperbolic geometry:

$$\mathcal{H} := \{z = x+iy \in \mathbb{C} : \Im z = y > 0\}, \quad \text{dist}(P, Q) := \inf_{P \sim_{\gamma} Q} \int_{\gamma} \frac{|dz|}{\Im z}.$$

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Its group of isometries is $(P)\text{SL}_2(\mathbb{R})$ with the action

$$\begin{pmatrix} a & b \\ c & d \end{pmatrix} (z) := \frac{az + b}{cz + d}.$$

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The Laplacian is

$$\Delta := -y^2 \left(\frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2} \right).$$

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We take a discrete subgroup defined arithmetically: $\Gamma := \mathrm{SL}_2(\mathbb{Z})$.

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We take a discrete subgroup defined arithmetically: $\Gamma := \mathrm{SL}_2(\mathbb{Z})$.
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- ▶ square-integrable (and assume they are already L^2 -normalized),

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- ▶ square-integrable (and assume they are already L^2 -normalized),
- ▶ eigenfunctions of Δ and the Hecke operators,

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- ▶ square-integrable (and assume they are already L^2 -normalized),
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- ▶ cuspidal.

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The sup-norm problem in the classical setup

Question

For such a ϕ , what can be said about

$$\sup_{z \in X} |\phi(z)|?$$

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Question

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Theorem (Sarnak)

If $X = \Gamma \backslash S$ is a compact, locally symmetric space, then we have, for the eigenforms f of the invariant differential operators,

$$\sup_{z \in X} |\phi(z)| \ll_X \lambda_\phi^{\frac{\dim - \text{rk}}{4}}.$$

Comments and the number-theoretic goal

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The exponent $(\dim - \text{rk})/4$ is sharp in this generality, for example, eigenfunctions of the Laplacian on the sphere S^2 grow with this rate.

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If X is not compact (many cases in number theory, e.g. $\Gamma \backslash \mathcal{H}$ above), then the bound is still true restricted to compacta, $\Omega \subset X$,

$$\sup_{z \in \Omega} |\phi(z)| \ll_{\Omega} \lambda_{\phi}^{\frac{\dim - \text{rk}}{4}}.$$

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The arithmetic sup-norm problem

Can we save over Sarnak's bound if X further has arithmetic symmetries (Hecke operators) and ϕ is assumed to be an eigenfunction of these Hecke operators, too, i.e. can we write, with some $\delta > 0$ (which might depend on X),

$$\sup_{z \in \Omega} |\phi(z)| \ll_{\Omega} \lambda_{\phi}^{\frac{\dim - \text{rk}}{4} - \delta}?$$

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Some results on the group $GL(2)$

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Some results on the group $GL(2)$

Theorem (Iwaniec–Sarnak, 1995)

In the presented setup,

$$\sup_{z \in X} |\phi(z)| \ll_{\varepsilon} \lambda_{\phi}^{5/24 + \varepsilon},$$

a saving over $(\dim - \text{rk})/4 = (2 - 1)/4 = 1/4 = 6/24$.

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Theorem (Blomer–Harcos–Milićević, 2016)

For spherical Hecke–Maaß cusp forms ϕ on $GL_2(\mathbb{Z}[i]) \backslash GL_2(\mathbb{C})$ of trivial central character,

$$\sup |\phi| \ll_{\varepsilon} \lambda_{\phi}^{5/12+\varepsilon}.$$

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Theorem (Blomer–Harcos–M.–Milićević, 2020)

Over any number field. (Same exponents over totally real and CM fields, weaker saving in other cases.)

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Applications, relations

Studying the sup-norm is related to many other things in the analytic theory of automorphic forms, e.g.

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- ▶ subconvexity of L -functions,

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- ▶ zero sets of automorphic forms (Ghosh–Reznikov–Sarnak, Rudnick),

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- ▶ etc.

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We introduce

$G := \mathrm{PGL}_n(\mathbb{R})$, a Lie group,
 $\Gamma := \mathrm{PGL}_n(\mathbb{Z})$, a discrete subgroup,
 $K := \mathrm{PO}_n(\mathbb{R})$, a maximal compact subgroup.

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$$\begin{aligned} G &:= \mathrm{PGL}_n(\mathbb{R}), && \text{a Lie group,} \\ \Gamma &:= \mathrm{PGL}_n(\mathbb{Z}), && \text{a discrete subgroup,} \\ K &:= \mathrm{PO}_n(\mathbb{R}), && \text{a maximal compact subgroup.} \end{aligned}$$

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Set

$$X := \Gamma \backslash G / K,$$

and we consider functions $f : X \rightarrow \mathbb{C}$, often as functions on $GL_n(\mathbb{R})$ such that

$$f(z\gamma gk) = f(g)$$

for any $z \in Z(\tilde{G})$, $\gamma \in \tilde{\Gamma}$, $g \in \tilde{G}$, $k \in \tilde{K}$, where $\tilde{\cdot}$ is \cdot without the projectivization.

The $GL_n(\mathbb{R})$ setup II: differential operators

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The $GL_n(\mathbb{R})$ setup II: differential operators

Set \mathfrak{g} for $n \times n$ matrices of 0 trace, this is the Lie algebra of G . Its elements act on smooth functions on G as

$$(Xf)(g) := \left. \frac{d}{dt} f(g \exp(tX)) \right|_{t=0} .$$

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$$(Xf)(g) := \left. \frac{d}{dt} f(g \exp(tX)) \right|_{t=0}.$$

We complexify it as $\mathfrak{g}_{\mathbb{C}}$, and consider the universal enveloping algebra $U(\mathfrak{g}_{\mathbb{C}})$. These are the higher-order differentiations (with complex coefficients).

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We consider then its center $\mathcal{D} := Z(U(\mathfrak{g}_{\mathbb{C}}))$, these are the higher-order differentiations (with complex coefficients) which commute with the right action of G . Why do we need the machinery? Typically \mathfrak{g} -derivatives of a function on X is not a function on X (right- K -invariance is lost), but \mathcal{D} -derivatives of a function on X is a function on X , because the \mathcal{D} -action commutes with the K -action.

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The $GL_n(\mathbb{R})$ setup III: Hecke operators

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Let p be a prime number, and $\mathbf{a} := (a_1, \dots, a_n)$ is an n -tuple of integers, where $a_1 \geq \dots \geq a_n \geq 0$. We can write

$$\tilde{\Gamma} \operatorname{diag}(p^{a_1}, \dots, p^{a_n}) \tilde{\Gamma} = \bigcup_{j \in J} \tilde{\Gamma} M_j$$

with a finite set J .

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with a finite set J .

For any $f : X \rightarrow \mathbb{C}$, the unnormalized Hecke operator to this data is defined as

$$(T_{p,\mathbf{a}}f)(g) := \sum_{j \in J} f(M_j g).$$

It is a function on X .

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The $GL_n(\mathbb{R})$ setup IV: cuspidality

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For any $n = n_1 + \dots + n_k$ with $k \geq 2$, $n_1, \dots, n_k > 0$, we consider the unipotent subgroup

$$U_{n_1, \dots, n_k} := \left\{ \begin{pmatrix} I_{n_1} & * & \dots & * \\ 0 & I_{n_2} & \dots & * \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \dots & I_{n_k} \end{pmatrix} \right\},$$

where I_m is the $m \times m$ identity matrix.

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where I_m is the $m \times m$ identity matrix. A function $f : X \rightarrow \mathbb{C}$ is said to be cuspidal, if for almost every $g \in G$,

$$\int_{U_{n_1, \dots, n_k}(\mathbb{Z}) \backslash U_{n_1, \dots, n_k}(\mathbb{R})} f(ug) \, du = 0.$$

In fact, it suffices to assume this for $k = 2$.

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Hecke–Maaß cusp forms and sup-norm bounds

Definition

A function $\phi : X \rightarrow \mathbb{C}$ is a Hecke–Maaß cusp form, if it is a joint eigenfunction of \mathcal{D} and all the Hecke operators $T_{p,\mathbf{a}}$ (for any p and \mathbf{a}), and it is cuspidal. Note: then it is automatically in $L^2(X)$, and we will assume below all along that it is L^2 -normalized. Notation: its Laplace eigenvalue will be denoted by λ_ϕ (the Laplace operator is in \mathcal{D} , so this makes sense).

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By Sarnak's general bound, for any compact $\Omega \subset X$,

$$\sup_{g \in \Omega} |\phi(g)| \ll_{\Omega} \lambda_{\phi}^{\frac{n(n-1)}{8}}.$$

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Hecke–Maaß cusp forms and sup-norm bounds

Definition

A function $\phi : X \rightarrow \mathbb{C}$ is a Hecke–Maaß cusp form, if it is a joint eigenfunction of \mathcal{D} and all the Hecke operators $T_{p,\mathbf{a}}$ (for any p and \mathbf{a}), and it is cuspidal. Note: then it is automatically in $L^2(X)$, and we will assume below all along that it is L^2 -normalized. Notation: its Laplace eigenvalue will be denoted by λ_ϕ (the Laplace operator is in \mathcal{D} , so this makes sense).

By Sarnak's general bound, for any compact $\Omega \subset X$,

$$\sup_{g \in \Omega} |\phi(g)| \ll_{\Omega} \lambda_{\phi}^{\frac{n(n-1)}{8}}.$$

Theorem (Blomer–M., 2016)

There is some $\delta > 0$ depending only on n such that

$$\sup_{g \in \Omega} |\phi(g)| \ll_{\Omega} \lambda_{\phi}^{\frac{n(n-1)}{8} - \delta}.$$

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Theorem (Blomer–Pohl, 2016)

There exists some $\delta > 0$ such that for any spherical Hecke–Maaß cusp form ϕ on $\mathrm{Sp}_4(\mathbb{Z}) \backslash \mathrm{Sp}_4(\mathbb{R})$, and any compact Ω ,

$$\sup_{g \in \Omega} |\phi(g)| \ll_{\Omega} \lambda_{\phi}^{1-\delta}.$$

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Theorem (Marshall, 2014+??, M.–Zábrádi, 2024)

There exists some $\delta > 0$ depending only on n such that for any spherical Hecke–Maaß cusp form ϕ on $\mathrm{PGL}_n(\mathbb{Z}[i]) \backslash \mathrm{PGL}_n(\mathbb{C})$, and any compact Ω ,

$$\sup_{g \in \Omega} |\phi(g)| \ll_{\Omega} \lambda_{\phi}^{\frac{n(n-1)}{4} - \delta}.$$

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Given a Hecke–Maaß cusp form ϕ on X as above, it generates a unitary representation as follows.

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A representation-theoretic point of view

Given a Hecke–Maaß cusp form ϕ on X as above, it generates a unitary representation as follows. First, we consider its right translates

$$\phi_x(g) := \phi(gx), \quad x \in G,$$

which are not necessarily (and typically are not) functions on X but only on $\Gamma \backslash G$.

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$$V_\phi := \text{closure of the linear span of } \{\phi_x : x \in G\},$$

where the closure is meant in $L^2(\Gamma \backslash G)$. Then V_ϕ is a unitary representation of G .

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Irreducible unitary representations V_π of G in general decompose into K -types as

$$V_\pi = \bigoplus_{\tau \in \hat{K}} m(\pi, \tau) V_\tau$$

with finite multiplicities $m(\pi, \tau)$.

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Irreducible unitary representations V_π of G in general decompose into K -types as

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with finite multiplicities $m(\pi, \tau)$. A representation is called spherical if $m(\pi, \tau_0) > 0$ for the trivial representation τ_0 of K . This is the case for V_ϕ , but not in general, e.g. classical holomorphic forms (which in fact had been discovered much before Maaß forms) generate non-spherical automorphic representations.

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Hecke–Maaß cusp forms of type τ

Set $Y := \Gamma \backslash G$. Let $\tau \in \hat{K}$.

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Definition

A function $\phi : Y \rightarrow \mathbb{C}$ is a Hecke–Maaß cusp form of type τ , if it is a joint eigenfunction of \mathcal{D} , all the Hecke operators, and under the right- K -action, it generates a representation of K isomorphic to V_τ .

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The $\tau \in \hat{K}$ form a partially ordered set. For any V_π as above, there is a minimal $\tau(\pi)$ for which $m(\pi, \tau(\pi)) > 0$. By a theorem of Vogan, it is then necessarily 1.

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The $\tau \in \hat{K}$ form a partially ordered set. For any V_π as above, there is a minimal $\tau(\pi)$ for which $m(\pi, \tau(\pi)) > 0$. By a theorem of Vogan, it is then necessarily 1. That is, we can consider a minimal K -type in any irreducible representation, and it is a well-defined $\dim \tau$ -dimensional space.

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The non-spherical sup-norm problem

Assume that ϕ is a Hecke–Maaß cusp form of minimal type τ (i.e. the representation generated by ϕ has minimal K -type τ). What can we say about, for $\Omega \subset Y$ compact,

$$\sup_{g \in \Omega} |\phi(g)|?$$

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Simpler question: do not estimate ϕ alone, but consider an L^2 -normalized basis $\Phi := (\phi_1, \dots, \phi_{\dim \tau})$ of the τ -type of V_ϕ . What can we say about

$$\sup_{g \in \Omega} \underbrace{(|\phi_1(g)|^2 + \dots + |\phi_{\dim \tau}(g)|^2)}_{=: \|\Phi(g)\|^2}?$$

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In view of the current methodology, this is a natural simplification, it seems very hard, potentially out of reach to separate spectrally the ϕ_j 's (even though we managed to do it with much pain for the seemingly much simpler $\mathrm{SL}_2(\mathbb{C})$ for ϕ 's in a Wigner basis).

Methodology and a guess on the generic bound

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Methodology and a guess on the generic bound

For τ , let $\chi_\tau(\cdot) := \dim \tau \cdot \text{tr}(\tau(\cdot))$ be its normalized character.

Assume $f : G \rightarrow \mathbb{C}$ is a smooth bi- τ -type function

(i.e. $f = \overline{\chi_\tau} * f = f * \overline{\chi_\tau}$) which further has a compact support.

Then we may consider the right action of f on $L^2(Y)$:

$$(R(f)\psi)(g) = \int_G f(x)\psi(gx) dx.$$

By the assumptions on f , this makes sense, and it acts by a scalar on the V_τ component generated by ϕ .

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In fact $R(f)$ acts as a scalar on all the functions of τ type which generate irreducible representations (since it is a weighted mix of right translates).

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In fact $R(f)$ acts as a scalar on all the functions of τ type which generate irreducible representations (since it is a weighted mix of right translates). Assuming that $R(f)$ is a positive operator (which can be achieved by convolution squares), we may assume that the operator is positive. In ψ , we may take a smooth approximation of the identity, then by positivity we drop the other terms, and we arrive at

$$c_\Phi(f)|\Phi(g)|^2 \leq \sum_{\gamma \in \Gamma} f(g^{-1}\gamma g).$$

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$$c_\phi(f)|\Phi(g)|^2 \leq \sum_{\gamma \in \Gamma} f(g^{-1}\gamma g).$$

In fact, the sum on the right-hand side has $O(1)$ terms, so the guess on the generic bound depends on the relation of $c_\phi(f)$ and f .

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Non-spherical transforms

The relation of $c_\phi(f)$ and f is given by the non-spherical version of Harish-Chandra's transform. In fact, to use this formula, we rather start from the $c_\phi(f)$ side, prescribe it to, say, 1 at our favourite representation, and prescribe also a decay for other representations. By the non-spherical transform, we get an f .

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Question

What kind of $c_\phi(f)$ can be prescribed?

Admittedly, we do not know the answer at the moment, but hopefully, a Paley–Wiener type (or at least a Schwartz type) function on the spectral side can be prescribed. Assume this is the case.

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Then by estimating the non-spherical trace function trivially for the inverse transform, and the fact that we only have $O(1)$ many terms, we get that

$$\sup_{g \in \Omega} |\Phi(g)| \ll_\Omega (\dim \tau \cdot \text{Plancherel density of } \Phi)^{1/2}.$$

This would be a clean generalization of Sarnak's bound (in fact, reproducing it for $\tau = \tau_0$, and in that case, we have the necessary Paley–Wiener theory in hand).

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Winning over that: the arithmetic situation

This part so far only uses that ϕ is an eigenform of \mathcal{D} and we have not used the Hecke operators.

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Winning over that: the arithmetic situation

This part so far only uses that ϕ is an eigenform of \mathcal{D} and we have not used the Hecke operators.

If we use the Hecke operators, then the f does not have $O(1)$ many terms any more. Then the analysis of the non-spherical trace functions near K becomes relevant (which can be efficiently done!), and we arrive at a juicy matrix-counting problem. A special case is the following:

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Matrix-counting problem

Assume that p is a large prime number. Prove that the number of those $n \times n$ integral matrices γ which are Smith normal form is $\text{diag}(p^2, p, \dots, p, 1)$ and which satisfy $\gamma^T \gamma = p^2 I_n$ (i.e. they are orthogonal up to a scalar) is $O(p^{n-1-\eta})$ with some $\eta > 0$ fixed (might depend on n , but on p).

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We know how to treat this.

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We know how to treat this. It gives

$$\sup_{g \in \Omega} |\Phi(g)| \ll_{\Omega} (\dim \tau \cdot \text{Plancherel density of } \Phi)^{1/2-\delta}$$

with some $\delta > 0$ depending only on n .

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Only essential corrections, typos, etc. are not taken into consideration.

- ▶ on slide 18, the function f is also assumed to be K -central, i.e. $f(k^{-1}xk) = f(x)$ for any $x \in G$ and $k \in K$