

# Monogeneity, multiple monogeneity and power integral bases in number fields

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Online Research Seminar "Diophantine Number Theory"  
Debrecen, March 5, 2021

Slides have been posted on  
<http://math.unideb.hu/gyory-kalman/talks.html>

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# 1. Notations, introduction

- $K$  number field,  $[K : \mathbb{Q}] = d$ ,  $D_K$  discriminant,  $O_K$  ring of integers (maximal order),  $D_{K/\mathbb{Q}}(\alpha)$  discriminant of  $\alpha \in O_K$
- $O(\subseteq O_K)$  order in  $K$ ,  $D_O$  its discriminant,  $I_O(X_2, \dots, X_d)$  index form associated with an integral basis  $\{1, \omega_2, \dots, \omega_d\}$  of  $O$ . For  $\alpha \in O$  with  $\mathbb{Q}(\alpha) = K \implies$

$$I_O(\alpha) := [O : \mathbb{Z}[\alpha]] = |I_O(x_2, \dots, x_d)| \quad (1)$$

the index of  $\alpha$  in  $O$ , where  $\alpha = x_1 + x_2\omega_2 + \dots + x_d\omega_d$ ,  $x_i \in \mathbb{Z}$  for  $1 \leq i \leq d$ .

- If  $f_\alpha(X) \in \mathbb{Z}[X]$  minimal (monic) polynomial of  $\alpha \in O \implies$

$$D(f_\alpha) = D_{K/\mathbb{Q}}(\alpha) = I_O^2(\alpha) D_O. \quad (2)$$

- If in particular  $O = O_K$ , we write  $D_K, I(\alpha), I(X_2, \dots, X_d)$  instead of  $D_O, I_O(\alpha), I_O(X_2, \dots, X_d)$ .

# Definitions of equivalence and monogenity

**Def:**  $\alpha, \alpha' \in O$  *equivalent* if  $\alpha' = \pm\alpha + a$  with some  $a \in \mathbb{Z}$ . Then their discriminants and indices coincide.

**Def:**  $O$  resp.  $K$  *monogenic* if

$O$  resp.  $O_K = \mathbb{Z}[\alpha]$  for some  $\alpha$  in  $O$ , resp. in  $O_K$ , and  $n$ -times *monogenic* if

$$O \text{ resp. } O_K = \mathbb{Z}[\alpha_1] = \dots = \mathbb{Z}[\alpha_n]$$

for some pairwise inequivalent  $\alpha_1, \dots, \alpha_n$  in  $O$ , resp. in  $O_K$ .

# Equivalent statements

**Proposition.** *For  $O$ , and in particular for  $O = O_K$ , the following statements are equivalent:*

- (i)  $O = \mathbb{Z}[\alpha]$  for some  $\alpha \in O$ ;
  - (ii)  $\{1, \alpha, \dots, \alpha^{d-1}\}$  is a power integral basis in  $O$ ;
  - (iii)  $D(f_\alpha) = D_{K/\mathbb{Q}}(\alpha) = D_O$ ;
  - (iv)  $I_O(\alpha) = 1$ ;
  - (v)  $I_O(x_2, \dots, x_d) = \pm 1$  solvable in  $x_2, \dots, x_d \in \mathbb{Z}$ .
- $\implies O$  resp.  $K$   $n$ -times monogenic  $\Leftrightarrow$  there are  $n$  inequivalent generators for power integral bases in  $O$ , resp. in  $K \Leftrightarrow$  (v) has  $n$  solutions.

## 2. General effective finiteness results

(motivation for further effective and algorithmic investigations)

*First general **effective** finiteness results on power integral bases and monogeneity: in the series of papers of Györy (1973,74,76,78a,78b).*

**Def:** monic polynomials  $f, f' \in \mathbb{Z}[X]$  *equivalent* if  $f'(X) = f(X + a)$  for some  $a \in \mathbb{Z} \Rightarrow D(f') = D(f)$ .

$H(f)$  *height* of  $f \in \mathbb{Z}[X]$ , i.e. the maximum of the absolute values of the coefficients of  $f$ .

The main result of Part I:

**Theorem A** (Gy, 1973). *Let  $D \geq 1$  and  $f \in \mathbb{Z}[X]$  a monic polynomial with*

$$0 < |D(f)| \leq D. \quad (3)$$

*There are effectively computable constants  $c_1(D), c_2(D)$  depending only on  $D$  such that*

$$\deg f \leq c_1(D), \quad H(f') \leq c_2(D) \quad (4)$$

*for some  $f' \in \mathbb{Z}[X]$  equivalent to  $f$ .*

**Corollary** (Gy, 1973). *Up to equivalence, there are only finitely many monic polynomials  $f \in \mathbb{Z}[X]$  with a given non-zero discriminant, and all of them can be, at least in principle, effectively determined.*

**Remark 1.** Hermite (1854,1857) introduced a *much more complicated and weaker equivalence* for polynomials of given degree and given non-zero discriminants, and proved a finiteness theorem on such polynomials. For monic polynomials, our Corollary implies a much more precise and effective generalization of Hermite's *forgotten* theorem; see also Evertse, Gy and Remete (202?).

**Remark 2.** For *irreducible cubic* polynomials, the finiteness assertion of the Corollary in **ineffective** form: Delone (1930) and independently Nagell (1930). Nagell (1967, 1968) conjectured that this is true for all irreducible polynomials in  $\mathbb{Z}[X]$  of given degree  $d \geq 3$  and given discriminant. Our Corollary  $\implies$  proof of Nagell conjecture in more general and **effective** form.

**Remark 3.** Theorem A was obtained *independently* of Birch and Merriman (1972). They proved an **ineffective finiteness theorem** on *binary forms* of **fixed** degree and *discriminant* from which, for monic polynomials of **fixed** degree, an **ineffective** version of our Corollary can be deduced. For **effective** version and **generalizations** of result of Birch and Merriman, see Evertse and Gy (1991, 1992).



# Basic idea of the proof of Theorem A (see also Part II)

The **method** is important for algorithmic/computational applications too; see below.

*Reduction to a 'connected' system of unit equations, effective bound for the unknown exponents in the unit equations by Baker's method.*

More precisely, let  $f \in \mathbb{Z}[X]$  monic with (3). After having proved  $\deg f \leq c_1(D)$ , let  $\alpha_1, \dots, \alpha_d$  zeros,  $L$  the splitting field of  $f$ . Then (3) $\implies \alpha_i - \alpha_j$  have bounded norms. Further,

$$(\alpha_i - \alpha_j) + (\alpha_j - \alpha_k) + (\alpha_k - \alpha_i) = 0 \text{ for every } i, j, k. \quad (5)$$

Hence (5)  $\implies$  '*connected*' system of *unit equations*

$$\delta_{ijk}\varepsilon_{ijk} + \tau_{ijk}\nu_{ijk} = 1, \tag{6}$$

$\delta_{ijk}, \tau_{ijk}$  finitely many and effectively determinable values,  $\varepsilon_{ijk}, \nu_{ijk}$  unknown units. Represent  $\varepsilon_{ijk} = \zeta_{ijk}\rho_1^{a_{ijk1}} \cdots \rho_r^{a_{ijk_r}}$  and similarly  $\nu_{ijk}, \zeta_{ijk}$  root of unity,  $\rho_1, \dots, \rho_r$  fundamental system of units in  $L$  with  $r \leq d! - 1$ . Applying *Baker's method* to (6)  $\implies$  *effective bound* for the *exponents*  $\implies$  *effective bound* for the *height* of  $\alpha_i - \alpha_j$  for every  $i, j \implies (4)$ .

# Further consequences of Theorem A in Parts I-V

Using (1), (2) and equivalence of (i)-(v),

**Consequences:** *up to equivalence, effective finiteness results:*

- for algebraic integers  $\alpha$  with a given non-zero discriminant (Part I, quantitative version in Part II); apply  $D(\alpha) = D(f_\alpha)$ ,  $f_\alpha$  minimal polynomial of  $\alpha$ ;

*in given number field  $K$ ,*

- for  $\alpha$  in  $O$ , resp. in  $O_K$  with a given index  $I$  (Part III, quantitative version); apply  $D_{K/\mathbb{Q}}(\alpha) = I^2 D_K$  for  $\alpha \in O_K$ ;
- for the solutions of index form equation

$$I_O(x_2, \dots, x_d) = \pm I \text{ in } x_2, \dots, x_d \in \mathbb{Z}$$

(Part III, quantitative version);

- for  $\alpha \in O$  resp  $O_K$  with  $\mathbb{Z}[\alpha] = O$  resp.  $O_K \Leftrightarrow \{1, \alpha, \dots, \alpha^{d-1}\}$  power integral basis (Part III, quantitative form);
- to decide effectively whether  $O$  resp.  $K$  is monogenic, resp.  $n$ -times monogenic (Part III, quantitative).

# Quantitative versions, generalizations

**Quantitative versions:** in Part II, Theorem A with

$$c_1(D) = 2(1 + \log D / \log 3), \text{ sharp}$$

$c_2(D)$  *explicit* but *large* (Baker's method).

In general, the **final** *explicit bounds* are *too large* for *practical use*.  
Then *refined versions* of the *general method* (Gy, 1998, 2000) must be combined with *reduction* and *enumeration* algorithms; see below.

## Generalizations

- $D$  resp.  $I$  replaced by  $p_1^{z_1} \cdots p_s^{z_s}$ ,  $p_i$  prime,  $z_i \geq 0$  also *unknown* (Gy Part V, Trelina)
- relative case,  $S$ -integers (Gy Part IV, Papp);
- more general decomposable form equations (Gy, Papp);
- étale algebras over finitely generated domains (Evertse, Gy);
- "inhomogeneous" case (Gaál);
- analogue results over function fields (Gaál, Gy, Shlapentokh).

⋮

## Applications

- *Diophantine equations; Thue, Mordell, elliptic, superelliptic, discriminant form, of discriminant type* (Bérczes, Brindza, Evertse, Gy, Haristoy, Papp, Pink, Pintér, Trelina);
- *Irreducible polynomials* (Gy);
- *Canonical number systems* (Evertse, Gy, Kovács, Pethő, Thuswaldner)
- *Arithmetic properties of discriminants and indices of elements of  $O_K$*  (Gy).

⋮

*Uniform upper bounds for the number of solutions* (Bérczes, Evertse, Gy).

For further **consequences**, **generalizations**, **applications** and **quantitative versions**, see the **books** with a *great number of references*:

- *K. Győry*, Résultats effectifs sur la représentation des entiers par des formes décomposables, Kingston, Canada, 1980.
- *W. Narkiewicz*, Elementary and Analytic Theory of Algebraic Numbers, 2nd ed., Springer 1990.
- *J.-H. Evertse* and *K. Győry*, Unit Equations in Diophantine Number Theory, Cambridge University Press, 2015.
- *J.-H. Evertse* and *K. Győry*, Discriminant Equations in Diophantine Number Theory, Cambridge University Press, 2017.
- *I. Gaál*, Diophantine Equations and Power Integral Bases, 2nd ed., Birkhäuser, 2019.

### 3. Algorithmic resolution of index form equations, application to (multiply) monogenic orders/number fields

*K* number field of degree  $d \geq 3$ ,  $O \subseteq O_K$  order,  $I(X_2, \dots, X_d)$  index form associated with a given integral basis of *K* resp. *O*.

$$I(x_2, \dots, x_d) = \pm 1 \text{ in } x_2, \dots, x_d \in \mathbb{Z}. \quad (7)$$

There are *efficient methods for solving* (7) in *concrete cases*  $\Leftrightarrow$  for *computing all generators of power integral bases* in *K* resp. in *O*, up to degree  $d \leq 6$  in *general*, and for certain classes of *higher degree fields* up to about degree 15.  $\Rightarrow$  for *deciding how many times* *K* resp. *O* is *monogenic*.

For **d=3,4**, (7)  $\Rightarrow$  *Thue equations of degree  $\leq 4$* , efficient algorithm;  
**d=3**, (7)  $\Rightarrow$  *cubic Thue equation*, Gaál, Schulte (1989);  
**d=4**, (7)  $\Rightarrow$  *one cubic and some quartic Thue equations*, Gaál,  
Pethő, Pohst (1991–1996);

# General approach combined with reduction and enumeration algorithms

*In general, for  $d \geq 5$  the general approach involving unit equations is needed. Since*

$$(7) \Leftrightarrow D_{K/\mathbb{Q}}(\alpha) = D_K \Leftrightarrow D(f_\alpha) = D_K \text{ in } \alpha \in O_K$$

*with minimal polynomial  $f_\alpha \in \mathbb{Z}[X]$ , in case of concrete equations (7), the basic idea of the proof of Theorem A can be combined with further fundamental algorithms and refinements:*

**Refined version of the general method:** *reduction to unit equations but in considerably smaller subfields in the normal closure  $L$  of  $K$ . Then the number of unknown exponents  $a_{ijk}$  much smaller,  $\leq d(d-1)/2 - 1$ ; cf. Gy (1998), Gy (2000), pp. 197, 206–207, Gaál, Gy (1999), Evertse, Gy (2017), pp. 90, 119–120. Then *bound* the exponents by *Baker's method*.*



**Reduction algorithm:** *reducing the Baker's bound by refined versions of the  $L^3$ -algorithm; cf. de Weger; Wildanger; Gaál and Pohst.*

**Enumeration algorithm:** *determining the **small** solutions under the reduced bound; cf. Wildanger; Gaál and Pohst; Bilu, Gaál and Gy.*

*⇒determining all power integral bases⇒checking the monogenity and the multiplicity of the monogenity of  $K$ .*

# Examples

**Examples:** in the most difficult case when  $K = \mathbb{Q}(\alpha)$ , degree  $d$ , *totally real*, with Galois group (of the normal closure) of  $K$   $S_d$ ,  $f \in \mathbb{Z}[X]$  *minimal polynomial* of  $\alpha$ .

**d=3**,  $f(X) = X^3 - X^2 - 2X + 1$ ,  $K$  9 times monogenic, Gaál, Schulte (1989);

**d=4**,  $f(X) = X^4 - 4X^2 - X + 1$ ,  $K$  17 times monogenic, Gaál, Pethő, Pohst (1990's);

**d=5**,  $f(X) = X^5 - 5X^3 + X^2 + 3X - 1$ ,  $K$  39 times monogenic, Gaál, Gy (1999);

**d=6**,  $f(X) = X^6 - 5X^5 + 2X^4 + 18X^3 - 11X^2 - 19X + 1$ ,  $K$  45 times monogenic, Bilu, Gaál, Gy (2004);

# Books, research papers

## Results, methods, references

### **Books**

- *B. M. M. de Weger*, Algorithms for Diophantine Equations, CW, Trad 65, Amsterdam, 1989.
- *N. P. Smart*, the Algorithmic Resolution of Diophantine Equations, Cambridge University Press, 1998.
- *J.-H. Evertse and K. Győry*, Discriminant Equations in Diophantine Number Theory, Cambridge University Press, 2017.
- *I. Gaál*, Diophantine Equations and Power Integral Bases, 2nd ed., Birkhäuser, 2019.

**Research papers**, a great number of authors, including: Ahmed, Arnóczki, Bilu, El Fadil, Gaál, Gassert, Guardia, Győry, Hamed, Husnine, Jadrijevič, Járási, Kashio, Kim, Lavallee, Montes, Motoda, Nakahara, Nart, Nyul, Olajos, Pethő, Pohst, Remete, Robertson, Schertz, Schulte, Shah, Smart, Smith, Spearman, Stange, Szabó, Tanoé, de Weger, Wildanger, Williams, Ziegler, . . .

# 4. Monogenic and multiply monogenic number fields

selected results and problems

$K$  number field of degree  $d$  with ring of integers  $O_K$

## Distribution of monogenic number fields

for  $d=1,2$ ,  $K$  monogenic;

for  $d=3$ , first example for *non-monogenic* number field: Dedekind (1878);

for *fixed*  $d \geq 3$ , infinitely many *monogenic* and infinitely many  
*non-monogenic* number fields of degree  $d$ ;

for  $d=3,4,6$ , tables of Gaál (2019): *frequency of monogenic number fields of degree  $d$  is decreasing in tendency as  $|D_K|$  increases.*

**Theorem B** (Bhargava, Shankar and Wang, 2016, 202?). *For given  $d \geq 3$ , the number of isomorphism classes of monogenic number fields  $K$  of degree  $d$  with  $|D_K| \leq X$  and with associated Galois group  $S_d$  is*  
 $\gg X^{1/2+1/(d-1)}.$

# A weaker equivalence

**Def:**  $\alpha, \beta \in O_K$  *equivalent* if  $\beta = \pm\alpha + a$  for some  $a \in \mathbb{Z} \Rightarrow \mathbb{Z}[\beta] = \mathbb{Z}[\alpha]$

**Theorem A**  $\Rightarrow$  Up to equivalence, there are only finitely many  $\alpha \in O_K$  with  $O_K = \mathbb{Z}[\alpha]$ , and they can be effectively determined  $\Rightarrow$  *effectively decidable whether  $K$  is monogenic, and the multiplicity of the monogeneity can also be effectively determined.*

**Def:**  $\alpha, \beta \in O_K$  weakly equivalent if  $\beta = \pm\alpha' + a$  for some  $a \in \mathbb{Z}$  and some conjugate  $\alpha'$  of  $\alpha$  (over  $\mathbb{Q}$ )

**equivalence  $\Rightarrow$  weak equivalence**

**Def:**  $K$   $n$  times monogenic in weak sense if

$$O_K = \mathbb{Z}[\alpha_1] = \dots = \mathbb{Z}[\alpha_n]$$

for some pairwise weakly inequivalent  $\alpha_1, \dots, \alpha_n$  in  $O_K$ .

**Theorem A**  $\implies$  effectively decidable whether  $K$  is  $n$  times monogenic in weak sense

If the Galois group of (the normal closure of)  $K$  is  $S_d \implies$  the two equivalences coincide

$\implies$  in the above **examples** of degree  $d = 3, 4, 5, 6$  resp., the corresponding fields  $K$  are 9, 17, 39, 45 times monogenic in weak sense

# Cyclotomic fields

*Cyclotomic fields and their maximal real subfields are **monogenic**.*

$p \geq 3$  prime,  $\xi$  primitive  $p$ th root of unity,  $K = \mathbb{Q}(\xi)$   $p$ th cyclotomic field

$$\xi, \dots, \xi^{p-1}, 1/(1 + \xi), \dots, 1/(1 + \xi^{p-1})$$

generate power integral bases in  $O_K$ ;  $K$   $2(p - 1)$  times monogenic, but only  $\xi, 1/(1 + \xi)$  generate distinct power integral bases. These are *inequivalent* in weak sense.

*Bremner's **conjecture** (1988): no further power integral basis in  $K$  in weak sense*

*proved for  $p \leq 41$ : Robertson, Wildanger, Russel*

*If the conjecture is true  $\implies K$  precisely 2 times monogenic in weak sense.*

# Bounds for the multiplicity of monogeneity

In the above *examples* for  $d = 3, 4, 5, 6$ ,  $K$  is *at least*  $d^2$  times monogenic in weak sense. On the contrary, the first upper bound in terms of  $d$ : Evertse and Gy (1985). The *best known upper bound*:

**Theorem C** (Evertse, 2011). *Let  $K$  be an algebraic number field of degree  $d \geq 4$ . Then any order in  $K$  (including  $O_K$ ) is at most*

$$2^{4(d+5)(d-2)} \tag{8}$$

*times monogenic.*

In particular, this provides an *upper bound* for the multiplicity of the monogeneity of  $K$ . Clearly, the bound (8) is valid in case of *weak equivalence* as well.

For given  $d \geq 3$ , denote by  $M(d)$  the maximal number for which there exists  $M(d)$  times monogenic number field  $K$  of degree  $d$ .

**Problem 1** (Gy, 2000). *Is  $M(d)$  polynomial or exponential in terms of  $d$ ?*



# Arithmetic characterization of monogenic and multiply monogenic number fields

**Hasse's problem** (1960's): *give an arithmetic characterization of monogenic number fields*

A very great number of *important results* for *deciding the monogeneity* of certain classes of number fields, including

- cyclotomic fields, abelian number fields;
- various types of quartic and sextic fields;
- multiquadratic fields;
- pure fields;
- composite fields;
- ⋮

## Various approaches

- infinite parametric families of fields, use of the index form approach;
- ideal theoretic approach, Dedekind's criterion;
- Montes algorithm, Newton polygons;
- Gröbner bases approach;
- irreducible monic polynomials with square-free discriminant;
- non-squarefree discriminant approach;
- ⋮

# Books, research papers

**Books:** Hensel (1908), Hasse (1963), Narkiewicz (1990), Evertse and Győry (2017), Gaál (2019) with many references.

**Research papers**, a great number of *authors*, including:

Ahmad, Archinard, Arnóczki, Bell, Bérczes, Bilu, Bozlee, Brenner, Brunotte, Cougnard, Delone, Dummit, Evertse, El Fadil, Faddeev, Gaál, Gassert, Gras, Guardia, Győry, Hameed, Hasse, Huard, Husnine, Jadrijevic, Jakhar, Járási, Jones, Katayama, Khan, Khanduja, Kim, Kisilevsky, Kovács, Lavallee, Liang, Merriman, Montes, Motoda, Nakahara, Nart, Nguyen, Nyul, Park, Pethő, Pohst, Ranieri, Remete, Robertson, Russel, Sangwan, Sekigawa, Shah, Simon, Smart, Smith, Spearman, Stange, Sultan, Tanoé, Thérond, Uehara, Wildanger, Williams, Ziegler, . . .

**Problem 2:** *give an arithmetic characterization of multiply monogenic number fields*

# New arithmetic properties of monogenic number fields and orders

Recently, it has been proved in a *precise* and *quantitative form* that the monogeneity has an increasing effect on the class group of number fields and orders; see Bhargava and Varma (2016), Ho, Shankar and Varma (2018), Bhargava, Hanke and Shankar (2020), Siad, Parts I, II (2020), Swaminathan (2020).

The above **examples** of degree  **$d=3,4,5,6$**  show that the multiplicity of monogeneity can be relatively large if the Galois group is  $S_d$ , i.e. large.

**Problem 3:** Has the *size* or *structure* of the *Galois group* any further effect on the class group of multiply monogenic number fields and orders?

## 5. Multiply monogenic orders in number fields

**Fix** number field  $K$  with degree  $d \geq 3$ , and consider **varying orders**  $O$  in  $K$ . **Theorem C**  $\implies$  every order in  $K \leq 2^{4(d+5)(d-2)}$  times monogenic.

It can be shown that 'most' orders in  $K$  are only few times monogenic.

More precisely,

**Theorem D** (Bérczes, Evertse, Gy, 2013). *There are at most finitely many three times monogenic orders in  $K$ .*

The bound **three** is *best possible* in the sense that there are number fields having infinitely many two times monogenic orders, see below.

**Def.** The order  $O$  in  $K$  is called of **type I** if there are  $\alpha, \beta \in O$  and  $\begin{pmatrix} a_1 & a_2 \\ a_3 & a_4 \end{pmatrix} \in \mathbf{GL}(2, \mathbb{Z})$  such that

$$O = \mathbb{Z}[\alpha] = \mathbb{Z}[\beta], \quad \beta = \frac{a_1\alpha + a_2}{a_3\alpha + a_4}, \quad a_3 \neq 0 \quad (9)$$

Then  $\alpha, \beta$  **not equivalent**, i.e.  $O$  two times monogenic.

# Two times monogenic orders of types I and II

*One can prove that every two times monogenic order in a cubic field is of **type I**. Further, if  $K$  is not a CM-field (i.e., not a totally complex quadratic extension of a totally real field), then  $K$  has infinitely many two times monogenic orders of **type I**.*

**Def.** *The order  $O$  in  $K$  is called of **type II** if there are  $\alpha, \beta \in O$  and  $a_0, a_1, a_2, b_0, b_1, b_2 \in \mathbb{Z}$  with  $a_2 b_2 \neq 0$  such that*

$$O = \mathbb{Z}[\alpha] = \mathbb{Z}[\beta], \quad \beta = a_0 + a_1\alpha + a_2\alpha^2, \quad \alpha = b_0 + b_1\beta + b_2\beta^2. \quad (10)$$

*Then  $\alpha, \beta$  **not** equivalent, so  $O$  two times monogenic.*

**Type II** orders exist only in quartic number fields. Further, there exist quartic number fields with infinitely many orders of **type II**.

**Theorem E** (Bérczes, Evertse, Gy, 2013). *Let  $K$  be a number field of degree  $d \geq 4$ , whose normal closure has Galois group  $S_d$ . Then*

- (i) *If  $d = 4$ , then apart from finitely many exceptions every multiply monogenic order in  $K$  is two times monogenic of **type I** or **II**.*
- (ii) *If  $d \geq 5$ , then apart from finitely many exceptions every multiply monogenic order in  $K$  is two times monogenic of **type I**.*

**Problem 4.** *Is Theorem E valid without the assumption on the Galois group?*

**Method of proof** of Theorems D and E: *reduction to unit equations in more than two unknowns, and use of ineffective finiteness theorems on these equations.* **Problem 5.** *Make effective Theorems D and E*

This seems to be very hard. At present, it is not known how to make the results on unit equations in more than two unknowns effective.

**Theorem F.** (Evertse, Gy, Remete, 202?). *For every  $d \geq 5$  there exists number field  $K$  of degree  $d$  having a two times monogenic order which is not of **type I**.*

# Explicit examples

**Explicit examples** for **Theorem F** (Evertse, Gy, Remete, 202?): Let  $d \geq 5$  and

$$g^{(d)}(X) := \begin{cases} X^d + X^{d-1} - 1 & \text{if } d \text{ odd,} \\ X^d + X^{d-1} + X^{d-2} + 1 & \text{if } d \text{ even.} \end{cases}$$

By results of Selmer (1965) resp. Ljunggren (1960),  $g^{(d)}(X)$  is irreducible over  $\mathbb{Q}$ . Let

$$f^{(d)}(X) := \begin{cases} X^{\frac{d+1}{2}} - X^{\frac{d-1}{2}} + 1 & \text{if } d \text{ odd,} \\ X^{\frac{d+2}{2}} + X^{\frac{d}{2}} + X^{\frac{d-2}{2}} + X + 1 & \text{if } d \text{ even.} \end{cases}$$

It is easy to check that

$$f^{(d)}(X^2) - X = \begin{cases} g^{(d)}(X)(X - 1) & \text{if } d \text{ odd,} \\ g^{(d)}(X)(X^2 - X + 1) & \text{if } d \text{ even.} \end{cases} \quad (11)$$

Then, if  $\alpha$  is a zero of  $g^{(d)}(X)$ , (11) implies that  $\alpha = f^{(d)}(\alpha^2)$ , whence  $\alpha \in \mathbb{Z}[\alpha^2]$  and  $\mathbb{Z}[\alpha] \subseteq \mathbb{Z}[\alpha^2]$ . But  $\mathbb{Z}[\alpha^2] \subseteq \mathbb{Z}[\alpha]$ , so  $\mathbb{Z}[\alpha] = \mathbb{Z}[\alpha^2]$ .



Since  $d \geq 5$ , there are no  $a_1, a_2, a_3, a_4 \in \mathbb{Z}$  with  $a_3 \neq 0$  and

$$\alpha^2 = \frac{a_1\alpha + a_2}{a_3\alpha + a_4}.$$

Consequently,  $O := \mathbb{Z}[\alpha] = \mathbb{Z}[\alpha^2]$  is a two times monogenic order in the number field  $K := \mathbb{Q}(\alpha)$  of degree  $d$  which is not of **type I**.

**Remark.** It seems to be an extremely hard **problem** to describe completely the multiply monogenic orders in a number field.

### Application to canonical number systems

$K$  number field of degree  $\geq 3$ ,  $O$  and order in  $K$ .

**Def.**  $\alpha \in O$ ,  $\alpha \neq 0$  is called a **basis of a canonical number system** (or **CNS basis**) for  $O$  if every nonzero element of  $O$  can be represented in the form

$$a_0 + a_1\alpha + \dots + a_m\alpha^m$$

with  $m \geq 0$ ,  $a_i \in \{0, 1, \dots, |N_{K/\mathbb{Q}}(\alpha)| - 1\}$  for  $i = 0, \dots, m$  and  $a_m \neq 0$ .

CNS is a *natural generalization* of *radix representations* of rational integers to algebraic integers.

**Def.** When there exists a CNS in  $O$ , then  $O$  is called a CNS order.

Such orders have been intensively investigated, see e.g. the survey paper Brunotte, Huszti, Pethő (2006).

Kovács (1981) proved that  $O$  is a CNS order  $\Leftrightarrow O$  is monogenic.

If  $\alpha$  is a CNS basis in  $O \Rightarrow O = \mathbb{Z}[\alpha]$ . Conversely, if  $O = \mathbb{Z}[\alpha]$  then there are infinitely many  $\alpha'$  equivalent to  $\alpha$  such that  $\alpha'$  is a CNS basis for  $O$ . For a characterization of CNS bases in  $O$ , see Kovács and Pethő (1991).

**Consequence of the Corollary to Theorem A** (Gy, 1973)  $\Rightarrow$  up to equivalence, there are only finitely many canonical number systems in  $O$ , and all them can be effectively determined.

**Def.**  $O$  is said to be  $n$  times CNS order if there are at least  $n$  pairwise inequivalent CNS bases in  $O$ .

**Theorem D** (Bérczes, Evertse, Gy, 2013)  $\Rightarrow$

**Corollary.** There are at most finitely many three times CNS orders in  $K$

## 6. Some new effective generalizations

*(motivation for further investigations)*

$K$  number field,  $D \neq 0$  integer

**Corollary of Theorem A** from Gy (1973)  $\implies$  *Up to equivalence, the equation*

$$D_{K/\mathbb{Q}}(\alpha) = D \text{ in } \alpha \in O_K \quad (12)$$

*has only finitely many solutions + effective*

In the **books** Evertse, Gy (2017) and Gaál (2019) many results mentioned in Sections 2 and 3 above are *generalized* for the *relative case, over the rings of  $(S-)$  integers of number fields*. Further generalizations are given for the finitely generated case in the **books** Evertse, Gy (2017) and

Evertse and Györy, *Effective results and methods for Diophantine equations over finitely generated domains*, Cambridge University press, to appear.

# A new effective generalization

Let  $A = \mathbb{Z}[z_1, \dots, z_r]$  be a *finitely generated domain* with *algebraic* or *transcendental* generators  $z_1, \dots, z_r$ ,  $M$  *quotient field* of  $A$ ,  $\Omega$  a *finite étale  $M$ -algebra* (i.e. a direct product of finite extensions  $K_1, \dots, K_t$  of  $M$ ). Let  $O$  be an  *$A$ -order* of  $\Omega$  (i.e. an  $A$ -subalgebra of the integral closure of  $A$  in  $\Omega$ , which spans  $\Omega$  as an  $M$ -vector space).

**Def.**  $\alpha, \alpha' \in O$   *$A$ -equivalent* if  $\alpha' - \alpha \in A \implies D_{\Omega/M}(\alpha') = D_{\Omega/M}(\alpha)$   
 $B^+$  *additive group* of a ring  $B$ ,  $D$  a *non-zero element* of  $A$

As a *generalization* of (12), consider the *discriminant equation*

$$D_{\Omega/M}(\alpha) = D \text{ in } \alpha \in O. \quad (13)$$

**Theorem G** (Evertse and Gy, 202?). *If*

$$(O \cap M)^+ / A^+ \text{ finite}, \quad (14)$$

*then the set of  $\alpha \in O$  with (13) is a union of finitely many  $A$ -equivalence classes. Moreover, if  $A, \Omega, O$  and  $D$  are given effectively in a well-defined way, one can determine a set consisting of precisely one element from each of these classes.*

For  $A = \mathbb{Z}$ ,  $M = \mathbb{Q}$ ,  $\Omega$  =number field  $K$ ,  $O = O_K$ , Theorem G  $\implies$  the above theorem concerning equation (12).

The *condition* (14) *necessary and decidable.*

THANK YOU FOR YOUR ATTENTION!